ITU - Telecommunications Standardization Sector

Temporary Document 4419

STUDY GROUP 7 and its Working Parties

Original: English

Geneva, 15 - 26 April 1996

Question: 15/7

**SOURCE**: Meeting on Question 15/7

TITLE: Directory Implementor's Guide Version 9

# Contents

Contents2		
1	Introduction	.3
2	Defect Report and Resolution Procedures	.4
3.	Guide to Appendixes	.5
Sum	mary	.7
Sum	mary	57

## 1 Introduction

## 1.1 Background

This Guide is a compilation of reported defects and their resolutions to the 1988 and 1993 editions of the ITU X.500 Recommendations and ISO/IEC 9594 Standards. It includes all approved and draft corrigenda to both editions of the Directory specification. It is intended to be an additional authoritative source of information for implementors to be read in conjunction with the Recommendations / Standards themselves.

This Guide itself is not a ITU-T Recommendation or ISO/IEC Standard. However, the appendixes of the Guide reproduce approved Technical Corrigenda which are formal corrections to the Directory specifications. They also include draft Technical Corrigenda which have no formal standing and which may be overturned or altered during the ballot process.

## 1.2 Scope of the Guide

The Guide records the resolution of defects in the following categories:

- editorial errors
- technical errors, such as omissions or inconsistencies
- ambiguities

In addition, corrections of a typographical nature to the 1988 ITU X.500 Series of Recommendations have occurred as a result of transcribing the text for Blue Book publication; they are included in this Guide, but associated defect reports are not required.

Note: This Guide does not address proposed additions, deletions, or modifications to the Recommendations or Standard that are not strictly related to implementation difficulties in the above categories. Proposals for new features should be made in the normal way through contributions by national delegates to Question 15 within Study Group 7 of the ITU-T or JTC 1/SC 21/WG 8 Directory group of the ISO/IEC.

# 1.3 Contacts and Distribution of the Guide

This Guide is distributed through ITU-T Meeting Reports and White Paper contributions, and ISO/IEC JTC1/ SC21 N-series documents. It is also available on-line from the ITU and from a server maintained by the ISO Rapporteur for Directories, in the following directory.

# **Contacts:**

ITU Rapporteur for Q.15/7 Directory Systems 1993-96 and <u>acting International Defect Report Editor & Editor - Directory</u> <u>Implementor's Guide</u>:

Rolf Exner Telecom Australia Research Laboratories 770 Blackburn Road Clayton, Victoria 3168 Australia Fax: +61 3 9253 6352 Internet: r.exner@trl.oz.au

ISO/IEC Directory Rapporteur

Hoyt L. Kesterson II Bull HN Information Systems Inc. M/S H-32 13430 North Black Canyon Highway Phoenix, Arizona 85029 U.S.A. Fax: +1 602 862 5272 Internet: h.kesterson@bull.com

ISO/IEC SC 21/WG 4 Secretariat

Rumiko Mori NTT Network Engineering HQ 1-1-6, Uchisaiwai-cho, Chiyoda-ku Tokyo 100 Japan

# 2 Defect Report and Resolution Procedures

## 2.1 Submission of Defects

Any implementor of the 1988 or 1993 editions of the X.500 Recommendations or the ISO/IEC International Standard 9594 is invited to submit a Directory defect report using the form found in Appendix D of the guide. The defect report should be submitted to the appropriate National Defect Report Editor, listed in Appendix E. Each form should cover a single defect. It is important that the form is completed accurately, especially the sections which relate to the base material against which the defect report is being raised.

### 2.2 Resolution of Defects

A collaborative Directory Defect Resolution Committee has been established to resolve reported defects. In the case of most countries, a single representative has been nominated to the committee from the ITU Administration and the ISO/IEC JTC 1 National Body.

Following agreement on a resolution, within the collaborative Defect Resolution Committee, the proposed resolution may require approval via ballot of ISO/IEC and the ITU.

Please note that no individual responses can be given to those submitting reports, and that the procedure is not intended as a consulting service.

# 3. Guide to Appendixes

The five appendixes of this Guide are organized as follows:

**Appendix** A is a collection of the approved Technical Corrigenda to the 1988 edition of the Directory specifications. It includes the minor editorial corrections applying to the X.500-series documents which are not covered by (and do not require) defect reports and technical corrigenda. The Directory specifications are arranged in the ISO/IEC order (Parts 1 to 8).

**Appendix B** is a collection of the approved and draft Technical Corrigenda to the 1993 edition of the Directory specifications. The Technical Corrigenda are again numbered from 1, just as for the 1988 edition. Approved Technical Corrigenda have been approved by an ISO/IEC ballot and await ITU-T Resolution 1 approval. All corrigenda have been approved by ITU-T Study Group 7, though draft Technical Corrigenda are subject to change through the ISO/IEC ballot.

**Appendix C** is a summary of the Defect Reports to the 1988 and 1993 editions. All defect reports up to and including 074 apply to the 1988 edition only. Defect reports from 075 apply mainly to the 1993 edition, but sometimes to both or (more rarely) to 1988.

**Appendix D** is a pro forma defect reporting form. This form, or one like it, should be used for reporting defects. The defect should be submitted together with a soft copy to ease the editor's task.

**Appendix E** is a list of Defect Editors with their contact information.

# Appendix A

# Technical Corrigenda to Rec. X.500 (1988) | ISO/IEC 9594:1990 Edition 1

### Summary

#### X.500 | ISO/IEC 9594-1 (1988)

- Minor Editorial Corrections to Recommendation X.500 (1988)\*

#### X.501 | ISO/IEC 9594-2 (1988)

- Technical Corrigendum 1 (covering resolutions to defect reports 006 & 021)
- Technical Corrigendum 2 (covering resolutions to defect reports 036 & 037)
- Minor Editorial Corrections to Recommendation X.501 (1988)\*

#### X.511 | ISO/IEC 9594-3 (1988)

- Technical Corrigendum 1 (covering resolutions to defect reports 001, 007, 012, 014, 020, 032)
- Technical Corrigendum 2 (covering resolutions to defect reports 038 & 042)
- Technical Corrigendum 3 (covering resolutions to defect report 052)
- Technical Corrigendum 4 (covering resolutions to defect reports 041, 054, 060, 063, 068 & 069)
- Technical Corrigendum 5 (covering resolutions to defect report 067)
- Technical Corrigendum 6 (covering resolutions to defect report 072)
- Minor Editorial Corrections to Recommendation X.511 (1988)\*

#### X.518 | ISO/IEC 9594-4 (1988)

- Technical Corrigendum 1 (covering resolutions to defect reports 004, 010, 011, 012, 013, 022, 023, 025, 026, 027, 029)
- Technical Corrigendum 2 (covering resolutions to defect reports 002, 034, 048, 050, 059)
- Technical Corrigendum 3 (covering resolutions to defect reports 024, 062, 065 & 066)
- Technical Corrigendum 4 (covering resolutions to defect reports 070, 071, 072)
- Minor Editorial Corrections to Recommendation X.518 (1988)\*

#### X.519 | ISO/IEC 9594-5 (1988)

- Technical Corrigendum 1 (covering resolutions to defect report 052)
- Technical Corrigendum 2 (covering resolutions to defect reports 074, 075)
- Minor Editorial Corrections to Recommendation X.519 (1988)\*

### X.520 | ISO/IEC 9594-6 (1988)

- Technical Corrigendum 1 (covering resolutions to defect report 076)
- Minor Editorial Corrections to Recommendation X.520 (1988)\*

#### X.521 | ISO/IEC 9594-7 (1988)

- Technical Corrigendum 1 (covering resolutions to defect report 005)
- Technical Corrigendum 2 (covering resolutions to defect report 055)
- Minor Editorial Corrections to Recommendation X.521 (1988)\*

#### X.509 | ISO/IEC 9594-8 (1988)

- Technical Corrigendum 1 (covering resolutions to defect reports 009, 015, 016, 019, 031)
- Minor Editorial Corrections to Recommendation X.509 (1988)\*

\* These corrections apply only to the X.500-series Recommendations of 1988, and generally align those documents with ISO/IEC 9594-1990. No defect report is required to report additional items to add to the ones published in this version of the Guide.

# Minor Editorial Corrections to Recommendation X.500 (1988)

• Figure 1/X.500 (Blue Book page 6) Inside the circle on the right, "directory" should be "Directory".

• Clause 6.7, 3rd para (Blue Book page 8) The ending of the paragraph "whose name is GB" should be "whose name is:".

- Clause 7.2.1, 2nd sentence (Blue Book page 10) "size of the results" should be "size of results".
- Clause 8.1, 2nd para, 2nd sentence (Blue Book page 12) "in its local data base" should be "in its local database".
- Clause 8.1, 2nd para, 4th sentence (Blue Book page 12) "Local data bases" should be "Local databases".
- Clause 8.3.3.1, 1st sentence (Blue Book page 13) "the DSA C" should be "DSA C".
- Figure 8/X.500 (Blue Bookpage 15)

Two port pairs are missing from the reproduced figure, as indicated below:



Date: 1991-08-20

# Information processing systems - Open Systems Interconnection - The Directory -

Part 2: Models

**TECHNICAL CORRIGENDUM 1** 

(covering resolutions to defect reports 006 and 021)

### Subclause 9.4.3

Add the following sentence to the end of the clause:

"All values of the **ObjectClass** attribute are provided by the user when the entry is created."

Page 10

### Subclause 9.4.6

Replace the existing **SubclassOf**, **Subclasses** and **Subclass** ASN.1 productions in the **OBJECT-CLASS** MACRO and clarify text of subitem (b) as indicated below:

Replace existing **SubclassOf** production with: **SubclassOf** ::= "SUBCLASS" "OF" Superclasses I empty

Replace existing **Subclasses** production with: Superclasses ::= Superclass | Superclass "," Superclasses

Replace existing **Subclass** production with: Superclass ::= value (OBJECT-CLASS)

In item (b) of 9.4.6, replace the text "i.e. that following" with "i.e. those following".

Date: 1992-03-17

# Information processing systems - Open Systems Interconnection - The Directory -

Part 2: Models

**TECHNICAL CORRIGENDUM 2** 

(covering resolutions to defect reports 036 and 037)

## Subclause 5.2.4

Add the following phrase to the beginning of the second sentence of the first paragraph:

"In any instance of communication...".

Page 5

### Subclause 7.1.4

Replace the definition of attribute value assertion (leaving the note intact) with the following:

"a proposition, which may be true, false, or undefined, according to the specified matching rules for the type, concerning the presence in an entry of an attribute value (or a distinguished value) of a particular type."

Page 6

## Subclause 7.4.3

Replace the first sentence of the first paragraph with the following:

"An attribute value assertion (AVA) is a proposition, which may be true, false, or undefined, according to the specified matching rules for the type, concerning the presence in an entry of an attribute value (or a distinguished value) of a particular type."

## Minor Editorial Corrections to Recommendation X.501 (1988)

Clause 7.2.3 (Blue Book page 27) - In ASN.1 Attribute production (3rd line) "Attribute Type" should be "AttributeType". Clause 7.3.1 (Blue Book page 27) - In ASN.1 Attribute Type production (1st line) "Attribute Type" should be "AttributeType". Clause 8.2.2 (Blue Book page 29) - In ASN.1 NAME production (1st • line) "NAME" should be "Name". Clause 9.4.6 (Blue Book page 34) - In ASN.1 Subclasses production (1st line) "Subclass | subclass" should be "Subclass | Subclass". Clause 9.4.7 (Blue Book page 34) - In ASN.1 ATTRIBUTE-SET macro (1st line) "ATTRIBUTE-SET-MACRO" should be "ATTRIBUTE-SET MACRO". Clause 9.4.7 (Blue Book page 34) - In ASN.1 ATTRIBUTE-SET macro (3rd line) "CONTAINS" {"Attributes" should be "CONTAINS" {Attributes". Clause 9.5.3 (Blue Book page 36) - In ASN.1 Multivalued production (2nd line) "MULTIVALUE" should be "MULTI VALUE". Annex B (Blue Book page 40) - In ASN.1 list of EXPORTS (8th line) • "dap,dsp" should be "dap, dsp, distributedDirectoryObjectIdentifiers,". Annex B (Blue Book page 41) - In ASN.1 for --modules-- (5th line) "protocolObjectIdentifier" should be "protocolObjectIdentifiers". Annex C (Blue Book page 41) - In ASN.1 list of EXPORTS (4th line) A space " " should be inserted after each comma "," on this line. Annex C (Blue Book page 42) - In ASN.1 collection of --attribute data types--, In the ASN.1 Attribute production, (2nd line) "type AttributeType" should be "type AttributeType,".

• Annex C (Blue Book page 42) - In ASN.1 ATTRIBUTE-SET macro (1st line)

"ATTRIBUTE-SET-MACRO" should be "ATTRIBUTE-SET MACRO".

• Annex C (Blue Book page 42) - In ASN.1 ATTRIBUTE-SET macro (4th line)

"VALUEOBJECTIDENTIFIER" should be "VALUE OBJECT IDENTIFIER".

• Annex C (Blue Book page 42) - In ASN.1 ATTRIBUTE macro (8th line) "Match Types" should be "MatchTypes".

Date: 1991-08-20

# Information processing systems - Open Systems Interconnection - The Directory -

**Part 3:** Abstract Service Definition

**TECHNICAL CORRIGENDUM 1** 

(covering resolutions to defect reports 001, 007, 012, 014, 020, 032)

#### Subclause 7.6.2.1

Delete "set of" from the first sentence.

#### Subclause 7.8.2

Replace existing text of 7.8.2 with:

"7.8.2 A Filter is either a FilterItem, or an expression involving simpler filters composed together with the logical operators **and**, **or**, and **not**.

7.8.2.1 A Filter which is a FilterItem has the value of the FilterItem (i.e. TRUE, FALSE, or undefined) (see Clause 7.8.3).

7.8.2.2 A Filter which is the and of a set of filters is TRUE if the set is empty or if each filter is TRUE; it is FALSE if at least one filter is FALSE; otherwise it is undefined (i.e. if at least one filter is undefined and no filters are FALSE).

7.8.2.3 A Filter which is the or of a set of filters is FALSE if the set is empty or if each filter is FALSE; it is TRUE if at least one filter is TRUE; otherwise it is undefined (i.e. if at least one filter is undefined and no filters are TRUE).

7.8.2.4 A Filter which is the **not** of a filter is **TRUE** if the filter is **FALSE**; **FALSE** if it is **TRUE**; and undefined if it undefined."

#### Subclause 7.8.3.2

In the first sentence, replace "if the **AttributeType** is known" with "if the **AttributeType** is known by the evaluating mechanism".

Page 8

#### Subclause 8.1.1

Replace the ASN.1 of **password** with:

password [2] CHOICE { OCTET STRING, PROTECTED OCTET STRING} OPTIONAL}

### Subclause 8.1.2.1.2

Modify the first sentence of the second paragraph to include a definition for **identifier** between the definitions of **algorithm** and **name** as follows:

"...sign the information; (the identifier is the same as that defined in the value notation of the SIGNED MACRO); name is the name of the ...".

Page 17

Subclause 12.9.2 e

Change "attempted AddEntry operation" to "attempted AddEntry or ModifyRDN operation"

# Annex A

Add DirectoryBindResult and DirectoryBindError to the EXPORTS production.

Page 19

Annex A

Replace the ASN.1 of password with:

password [2] CHOICE { OCTET STRING, PROTECTED OCTET STRING} OPTIONAL}

Date: 1992-05-24

# Information processing systems - Open Systems Interconnection - The Directory -

**Part 3:** Abstract Service Definition

**TECHNICAL CORRIGENDUM 3** 

(covering resolutions to defect report 052)

## Subclause 7.3.1

In the ASN.1 for CommonArguments, modify SecurityParameters as follows:

[29] SecurityParameters OPTIONAL,

Page 10

## Subclauses 9.3.4 & 9.3.6

Add the following phrase to the beginning of the last sentence of clause 9.3.6:

"As a local matter "

Move the revised last sentence of 9.3.6 to become a new second sentence in clause 9.3.4.

Page 23

### Annex A

In the ASN.1 for CommonArguments, modify SecurityParameters as follows:

[29] SecurityParameters OPTIONAL,

Date: 1992-05-24

# Information processing systems - Open Systems Interconnection - The Directory -

**Part 3:** Abstract Service Definition

**TECHNICAL CORRIGENDUM 3** 

(covering resolutions to defect report 052)

### Subclause 7.3.1 & Annex A

Replace the extensions component of the ASN.1 production for CommonArguments with:

criticalExtensions[25] BIT STRING OPTIONAL

Delete the ASN.1 production for Extension.

#### Subclause 7.3.2.6

Replace clause 7.3.2.6 and all of its subclauses with the following:

7.3.2.6 The **criticalExtensions** component provides a mechanism to list a set of extensions which are critical to the performance of a Directory abstract operation. If the originator of the extended abstract operation wishes to indicate that the operation must be performed with one or more extensions (i.e., that performing the operation without these extensions is not acceptable), it does so by setting the **criticalExtensions** bit(s) which corresponds to the extension(s).

If the Directory or some part of it, is unable to perform a critical extension, it returns an indication of **unavailableCriticalExtension** (as a **serviceError** or a **partialOutcomeQualifier**). If the Directory is unable to perform an extension which is not critical, it ignores the presence of the extension.

Date: 1992-10-30

# Information processing systems - Open Systems Interconnection - The Directory -

**Part 3:** Abstract Service Definition

**TECHNICAL CORRIGENDUM 4** 

(covering resolutions to defect report 041, 054, 068, 060, 063, 069)

### (Clause 7.8.3.4 b) )

Replace text of 7.8.3.4 b) with the following:

substrings, it is TRUE if and only if there is a partitioning of a value of the attribute (into portions) such that:

- the specified substrings (initial, any, final) match different portions of the value in the order of the strings sequence;
- initial, if present, matches the first portion of the value;
- final, if present, matches the last portion of the value;
- any, if present, matches some arbitrary portion of the value.

There shall be at most one initial, and at most one final in strings. If initial is present, it shall be the first element of strings. If final is present, it shall be the last element of strings. There shall be zero or more any in strings.

### Subclause 7.8.3.4 c)

Replace "to any value of" with "to at least one value of".

#### **Subclause 7.8.3.4 d**)

Replace "to any value of" with "to at least one value of".

#### Page 8

#### Clause 8.1.1 and Annex A

Add an optional component to StrongCredentials to allow passing the requestor distinguished name.

StrongCredentials ::=	SET {
certification-path	[0] CertificationPath OPTIONAL,
bind-token	[1] Token,
name	[2] DistinguishedName OPTIONAL }

## Clause 8.1.2.1.2

Add the following text to the end of the first sentence of the first paragraph:

", and the name of the requestor".

Page 15

# Sublause 12.1.2

In the first paragraph,

- replace both instances of "is reported" with "should be reported";
  repace "determines which error" with "indicates which error".

# Annex A

Add Credentials to the EXPORTS production.

Date: 1992-\_\_-

# Information processing systems - Open Systems Interconnection - The Directory -

Part 3: Abstract Service Definition

**TECHNICAL CORRIGENDUM 5** 

(covering resolutions to defect report 067)

Pages 9 and 11

### Clause 9.1.2.1 & 10.2.3.2

Add a new sentence to the end of 9.1.2.1 and to the end of 10.2.3.2 as follows:

However it should not be assumed that the attributes returned are the same as or limited to those requested.

Date: 1995-\_\_-

# Information processing systems - Open Systems Interconnection - The Directory -

**Part 3:** Abstract Service Definition

**TECHNICAL CORRIGENDUM 6** 

(covering resolutions to defect reports 072, 085)

## Clause 7.9.2.2

Strike "first" from the first sentence. Replace the second sentence with the following:

When appearing in **CommonArguments**, **name** is the distinguished name of the DSA to which the operation was submitted. When appearing in **CommonResults**, **name** is the distinguished name of the requestor, as defined in 7.3.2.3.

Page \_\_\_

Clause 10.1.2

Add the following new clause to 10.1.2:

10.1.2.2 The **CommonArguments** (see 7.3) include a specification of the service controls applying to the request.

## **Minor Editorial Corrections to** Recommendation X.511 (1988)

Table of Contents (Blue Book page 82)

The Spanish text of the English document should be replaced with the following:

THE DIRECTORY - ABSTRACT SERVICE DEFINITION $^{1)}$ (Melbourne, 1988) CONTENTS

0 Introduction Scope and field of application 1 **SECTION 1 - General** References 2 3 Definitions 4 Abbreviations

5 Conventions

SECTION 2 - Abstract service

- Overview of the directory service 6
- Information types 7
- 8 Bind and unbind operations
- 9
- 10
- Directory read operations Directory search operations Directory modify operations 11
- 12 Errors

Annex A - Abstract service in ASN.1

Annex B - Directory object identifiers

1) Recommendation X.511 and ISO 9594-3, Information Processing Systems - Open Systems Interconnection - The Directory - Abstract Service Definition, were developed in close collaboration and are technically aligned.

Clause 7.3.1 (Blue Book page 87) - In ASN.1 CommonArguments production (6th line)

"DEFAULT notStarted" should be "DEFAULT {notStarted}".

Clause 7.3.1 (Blue Book page 87) - In ASN.1 CommonArguments production (8th line)

"SET OF EXTENSION OPTIONAL" should be "SET OF Extension OPTIONAL".

Clause 7.6.1 (Blue Book page 90) - In ASN.1 EntryInformationSelection production

(5th line) "select [1]]" should be "select [1]".

Clause 7.8.1 (Blue Book page 91) - In ASN.1 FilterItem production (6th line)

"Initial" should be "initial".

Clause 8.1.1 (Blue Book page 93) - In ASN.1 DirectoryBindArgument production (4th line) "v1988}" should be "{v1988}}".

Clause 8.1.1 (Blue Book page 94) - In ASN.1 DirectoryBindError production (2nd line)

"DEFAULT v1988," should be "DEFAULT {v1988},"

Clause 8.1.1 (Blue Book page 94) - In ASN.1 DirectoryBindError production (4th line)

"ServiceProblem" should be "ServiceProblem,".

Clause 9.1.1 (Blue Book page 95) - In ASN.1 ReadArgument production (3rd line)

Delete "Selection F13".

Clause 9.1.1 (Blue Book page 95) - In ASN.1 ReadArgument production (4th line)

"DEFAULT {}" should be "DEFAULT {},".

Clause 9.3.1 (Blue Book page 96) - In ASN.1 AbandonArgument (2nd line)

"Invokeld [0] Invokeld}" should be "invokeld [0] Invokeld}".

Clause 10.1.1 (Blue Book page 97) - In ASN.1 List production (5th line)

"NameError" should be "NameError,".

Clause 10.1.1 (Blue Book page 97) - In ASN.1 ListResult production (7th line)

"DEFAULT FALSE" should be "DEFAULT FALSE,".

Clause 10.1.1 (Blue Book page 97) - In ASN.1 ListResult production (10th line)

"PartialOutcomeQualifier OPTIONAL" should be "PartialOutcomeQualifier OPTIONAL,".

Clause 10.2.1 (Blue Book page 99) - In ASN.1 SearchArgument production (10th line)

"EntryInformationSelection DEFAULT {}" should be "EntryInformationSelection DEFAULT {},".

• Clause 11.3.2.2 (Blue Book page 102) - In the note following list item d)

"This operation is now allowed" should be "This operation is not allowed".

• Clause 12.4.1 (Blue Book page 104) - In ASN.1 AttributeProblem production (3rd line)

"InvalidAttributeSyntax" should be "invalidAttributeSyntax".

• Clause 12.4.1 (BlueBook page 104) - In ASN.1 for AttributeProblem (5th line)

"InappropriateMatching" should be "inappropriateMatching".

• Clause 12.7.1 (Blue Book page 105) - In ASN.1 SecurityProblem production (2nd line)

"InappropriateAuthentication" should be "inappropriateAuthentication".

• Clause 12.7.1 (Blue Book page 105) - In ASN.1 SecurityProblem production (3rd line)

"InvalidCredentials" should be "invalidCredentials".

• Clause 12.7.1 (Blue Book page 105) - In ASN.1 SecurityProblem production (4th line)

"InsufficientAccessRights" should be "insufficientAccessRights".

• Clause 12.7.1 (Blue Book page 105) - In ASN.1 SecurityProblem production (5th line)

"InvalidSignature" should be "invalidSignature".

• Clause 12.8.1 (Blue Book page 106) - In ASN.1 ServiceError production (3rd line)

"ServiceProblem}," should be "ServiceProblem}".

• Annex A (Blue Book page 108) - In ASN.1 IMPORTS list (12th line) "FROM InformationFramework InformationFramework" should be "FROM InformationFramework informationFramework".

• Annex A (Blue Book page 108) - In ASN.1 directory production (3rd line)

"readPort [S]." should be "readPort [S],".

- Annex A (Blue Book page 109) In ASN.1 dua production (4th line) "searchPort [C]" should be "searchPort [C],".
- Annex A (Blue Book page 109) In ASN.1 DirectoryBindArgument (3rd line)

"v1988}" should be "{v1988}}".
• Annex A (Blue Book page 109) - In ASN.1 Token production (2nd line)

"AlgorithmIdentifier" should be "AlgorithmIdentifier,".

• Annex A (Blue Book page 109) - In ASN.1 Versions production (1st line)

"(v1988(0)}" should be "{v1988(0)}".

• Annex A (Blue Book page 110) - In ASN.1 DirectoryBindError production (2nd line)

"DEFAULT v1988," should be "DEFAULT {v1988},".

• Annex A (Blue Book page 110) - In ASN.1 AbandonArgument production (2nd line)

"Invokeld [0] Invokeld}" should be "invokeld [0] Invokeld}".

• Annex A (Blue Book page 110) - In ASN.1 List production (5th line) Delete "AttributeError".

• Annex A (Blue Book page 111) - In ASN.1 SearchResult production (7th line)

"[2] partialOutcomeQualifier" should be "[2] PartialOutcomeQualifier".

• Annex A (Blue Book page 111) - In ASN.1 AddEntry production (6th line)

"SecurityError" should be "SecurityError,".

• Annex A (Blue Book page 112) - In ASN.1 ModifyRDNArgument (4th line)

"deleteoldRDN" should be "deleteOldRDN".

• Annex A (Blue Book page 114) - In ASN.1 CommonArguments production (6th line)

"DEFAULT notStarted" should be "DEFAULT {notStarted}".

• Annex A (Blue Book page 114) - In ASN.1

EntryInformationSelection production

(12 line) "attributeTypesandValues" should be "attributeTypesAndValues".

• Annex A (Blue Book page 115) - In ASN.1 SecurityParameters production (2nd line)

"certification-Path" should be "certification-path".

Date: 1991-08-20

# Information processing systems - Open Systems Interconnection - The Directory -

**Part 4:** Procedures for Distributed Operation

**TECHNICAL CORRIGENDUM 1** 

(covering resolutions to defect reports 004, 010-013, 022, 023, 025-027, 029)

# Subclause 10.4.1

In the first sentence of the second paragraph and the second sentence of the third paragraph, replace "returnCrossReference" with "returnCrossRefs".

Page 11

#### Subclause 10.4.2.3

In the last sentence of the first paragraph, replace "requestCrossReferences" with "returnCrossRefs".

Page 12

### Subclause 12.3.1

Because a DSA involved in chaining is unable to change the value of the subset argument (as required when dereferencing aliases for a one level search operation), add the new boolean argument "entryOnly" to the ChainingArguments ASN.1 definition as follows:

aliasDereferenced [4] BOOLEAN DEFAULT FALSE, aliasedRDNs [5] INTEGER OPTIONAL, --absent unless aliasDereferenced is TRUE entryOnly [11] BOOLEAN DEFAULT FALSE, --absent unless aliasDereferenced is TRUE --and operation is search, one-level returnCrossRefs [6] BOOLEAN DEFAULT FALSE,

Page 13

# Subclause 12.3.2.7

Add a new clause 12.3.2.7 with the following text to define the new **entryOnly** argument:

"12.3.2.7 The entryOnly component is set to TRUE if the original operation was a search, with the subset argument set to oneLevel, and an alias entry was encountered as an immediate subordinate of the baseObject. The DSA which successfully performs name resolution on the targetObject name, shall perform object evaluation on only the named entry."

Renumber existing clauses 12.3.2.7 and 12.3.2.8 to 12.3.2.8 and 12.3.2.9 respectively.

### Subclause 12.6.2

Replace the first sentence with the following:

"Each DSA which is propagating an operation to another adds a new item to the end of the sequence of **TraceItem**."

Page 15

#### Subclause 13.1.1

In the first sentence replace "chainedRead, chainedSearch, and chainedModify ports" by "chainedReadPort, chainedSearchPort, and chainedModifyPort".

Replace the ASN.1 specification of **DSABind** with the following specification:

DSABind	::= ABSTRA	CT-BIND
то	{ chainedReadPo	rt,
	chainedSearch	Port,
	chainedModifyF	Port }
BIND	-	-
	ARGUMENT	DirectoryBindArgument
	RESULT	DirectoryBindResult
	BIND-ERROR	DirectoryBindError

#### Subclause 13.2.1

In the first sentence, replace "Chained Read, Chained Search and Chained Modify ports" with "chainedReadPort, chainedSearchPort and chainedModifyPort".

Replace the ASN.1 specification of **DSAUnbind** with the following specification:

DSAUnbind ::= ABSTRACT-UNBIND FROM { chainedReadPort, chainedSearchPort, chainedModifyPort }

Page 18

#### Subclause 17.2

Add the following to the end of the second last paragraph:

"In the particular case where a DSA already contains an entry of the same name as that of the **object** in the **AddEntry**, **RemoveEntry** or **ModifyRDN** operation, or of the new name in the **ModifyRDN** operation, and receives the operation before name resolution has been completed, because another (as yet uncontacted) DSA holds the immediately superior entry, then it may optionally return an **UpdateError** instead of first passing the operation to the latter DSA for name resolution to be completed."

#### Subclause 18.4.2.3

Replace both sentences with the following:

"TraceInformation is always updated, by appending a new TraceItem, before including it in any outgoing ChainingArguments. This is the case for both chained operations and newly generated subrequests."

Page 25

#### Sublause 18.4.6 1)

Replace the first sentence of the second paragraph with the following:

"If the operation originates from a DUA, adopt the value of **TRUE** for aliasDereferenced if aliasedRDNs is present, otherwise adopt the value FALSE."

Page 34

# Subclause 18.7.2.2.1 1)

To include the new **entryOnly** argument, replace the first part of the first sentence (up to the first comma) with revised text as follows:

- "1) If the **subset** argument is one of
  - (i) **baseObject**, or
  - (ii) wholeSubtree, or

(iii) **oneLevel** and **entryOnly** in **ChainingArguments** is set to **TRUE**, then apply the filter ..."

Subclause 18.7.2.2.1 5)

Replace "...targetObject created from the aliasedObjectName attribute and the old targetObject name" with "Set targetObject to aliasedObjectName".

To include the omitted step and to incorporate the **entryOnly** argument, replace the second paragraph with the following:

"Set aliasDereferenced and aliasedRDNs in ChainingArguments to TRUE and to the number of RDNs in aliasedObjectName, respectively.

If the subset argument was oneLevel, set entryOnly to TRUE in ChainingArguments."

### Subclause 18.8

Add the following to the end of the second last paragraph:

"When the incoming result has been signed, the DSA shall not act upon the **ContinuationReference** unless an a priori agreement exists between the DSA and the DUA which will receive the result, and any intermediate DSAs. Where such an agreement exists:

1. the DSA may explore as described above except the continuation reference shall not be removed from the result;

2. any additional results shall be returned as described in 18.9.2;

3. the DUA and any intermediate DSAs processing the result shall ignore the referrals contained in the result."

Page 37

#### Annex A

Delete **DSABindArgument** from the **EXPORTS** production.

Delete **DirectoryBind** from the **IMPORTS** production.

Add DirectoryBindArgument, DirectoryBindResult and DirectoryBindError to the IMPORTS production.

Page 38

# Annex A

Replace the ASN.1 specification of **DSABind** with the following specification:

DSABind	::= ABSTRAC	T-BIND
то	{ chainedReadPor chainedSearchP chainedModifyP	t, ort, ort }
BIND		
	ARGUMENT	DirectoryBindArgument
	RESULT	DirectoryBindResult
	BIND-ERROR	DirectoryBindError

Replace the ASN.1 specification of **DSAUnbind** with the following specification:

DSAUnbind ::= ABSTRACT-UNBIND FROM { chainedReadPort, chainedSearchPort, chainedModifyPort }

Date: 1992-03-17

# Information processing systems - Open Systems Interconnection - The Directory -

**Part 4:** Procedures for Distributed Operation

**TECHNICAL CORRIGENDUM 2** 

(covering resolutions to defect reports 002, 034, 048, 50 and 059)

# Subclause 3.5.12

Replace the definition of naming context with the following:

"a subtree of the DIT, held in a single DSA, defined as starting at a vertex and extending downwards to leaf and/or non-leaf vertices. Such vertices constitute the border of the naming context. The superior of the starting vertex of a naming context is not held in that DSA. Subordinates of the non-leaf vertices belonging to the border denote the start of further naming contexts which are not held in that DSA."

# Subclause 3.5.17

Replace the definition of request decomposition with the following:

"decomposition of a request into one or more of the following:

- a) subrequests to be chained to other DSAs;
- b) continuation references to be included in the results."

#### Page 7

### Clause 9

In the fifth paragraph, replace the 3rd-5th sentences with the following:

"A naming context is a subtree of the DIT, held in a single DSA, defined as starting at a vertex and extending downwards to leaf and/or nonleaf vertices. Such vertices constitute the border of the naming context. The superior of the starting vertex of a naming context is not held in that DSA. Subordinates of the non-leaf vertices belonging to the border denote the start of further naming contexts which are not held in that DSA."

Page 15 & 16

### Subclause 14.3.1

In the ASN.1 for ChainedX ::= , add Abandoned to the ERRORS as follows:

ERRORS {...,DsaReferral, Abandoned,...}

Add a new note 1 as follows and renumber the existing note to note 2:

"Notes: 1. The presence of the Abandoned error reflects the possibility discussed in 14.4, that a **ChainedAbandon** can be generated for an operation when a linked association fails.

2. The definitive ..."

Page 26

### Subclause 18.6.1

To remove ambiguity and clarify the source of values for the arguments, replace the first sentence with the following:

"The procedure makes use of the following arguments, set by Operation Dispatcher as detailed in 18.4.6 1):"

Delete the third bullet item from the list in 18.6.1 and add the following text as a new paragraph at the end of the clause:

"In addition, the procedure makes use of the dontDereferenceAliases service control from commonArguments."

Page 33

# Subclause 18.7.2.1.1 3)

Add the following phrase to the beginning of the first sentence:

"Either generate a continuation reference to be included in the results or "

Page 34

# Subclause 18.7.2.2.1 3)

Add the following phrase to the beginning of the second sentence:

"Either generate a continuation reference to be included in the results or "

Subclause 18.7.2.2.1 5)

Add the following phrase to the second sentence immediately following the phrase "the alias and":

"Either generate a continuation reference to be included in the results or "

Page 39

# Annex A

In the ASN.1 definition of **ChainedModifyEntry** replace the errors with the following text:

# ERRORS { DsaReferral, Abandoned, AttributeError, NameError, ServiceError, SecurityError, UpdateError }

Date: 1992-10-30

# Information processing systems - Open Systems Interconnection - The Directory -

**Part 4:** Procedures for Distributed Operation

**TECHNICAL CORRIGENDUM 3** 

(covering resolutions to defect reports 9594/024, 062, 065 and 066)

# Clause 12.9.1

Delete the following comment from the referenceType element of the ASN.1 specification of ContinuationReference:

"--only present in the DSP--"

Add a new element to ContinuationReference as indicated below:

ContinuationReference	::=	SET {
targetObject	[0]	Name,
aliasedRDNs [1]	INTEG	ER OPTIONAL
operationProgress	[2]	OperationProgress,
rdnsResolved	[3]	INTEGER OPTIONAL,
referenceType	[4]	ReferenceType OPTIONAL,
accessPoints[5]	SET O	F AccessPoint
entryOnly	[6]	BOOLEAN DEFAULT FALSE
		ABSENT UNLESS ALIASDEREFERENCED IS TRUE
		and operation is search one level
		}

Page 15

#### Subclause 12.9.2.5

Delete the following phrase:

"which is only present in the DSA abstract service,"

Add a new clause 12.9.2.7 with the following text to define the new entryOnly argument:

"12.9.2.7 The entryOnly component is set to TRUE if the original operation was a search, with the subset argument set to oneLevel, and an alias entry was encountered as an immediate subordinate of the baseObject. The DSA which successfully performs name resolution on the targetObject name, shall perform object evaluation on only the named entry."

Page 26

# Subclause 18.5

Add a third paragraph to 18.5:

If the DSA executes referrals, use of **traceInformation** is not sufficient to detect or avoid loops. A DSA executing referrals shall use the procedure of 18.5.3 for loop avoidance. Note that detection of loops due to the failure of other DSAs to follow this procedure is not possible.

Insert a new clause 18.5.3:

#### 18.5.3 Loop Avoidance with Referrals

Loop avoidance in the execution of referrals requires that a DSA, immediately prior to executing a referral and in addition to the procedure of 18.5.2, check whether the consequential state of the operation has occurred previously for that DSA in relation to the processing of the original query. To do this, it is necessary for the DSA to maintain a list of these states for all requests and subrequests relating to the original query which it sends to other DSAs.

Page 37

# Annex A

Add AccessPoint to the EXPORTS production.

Date: 1995-\_\_-

# Information processing systems - Open Systems Interconnection - The Directory -

**Part 4:** Procedures for Distributed Operation

**TECHNICAL CORRIGENDUM 4** 

(covering resolutions to defect reports 9594/070, 071, 072)

# Clause 12.3.2.11

Add to the end of 12.3.2.11:

In constructing this parameter, "sender" refers to the initiating DSA, and "recipient" refers to the responding DSA. **name** is set to the distinguished name of the DSA to which the operation was chained.

### Clause 12.4.2.3

Add to the end of 12.4.2.3:

In constructing this parameter, "sender" refers to the responding DSA, and "recipient" refers to the initiating DSA. **name** is set to the distinguished name of the DSA to which the responding DSA is replying.

# Page 19

#### Annex A

Add to the end of the list of imports in Annex A:

OBJECT, PORT, ABSTRACT-BIND, ABSTRACT-UNBIND, ABSTRACT-OPERATION, ABSTRACT-ERROR FROM AbstractServiceNotation {joint-iso-ccitt mhs-motis(6) asdc(2) modules(0) notation(1)}

# Minor Editorial Corrections to Recommendation X.518 (1988)

• Clause 12.3.1 (Blue Book page 132) - In ASN.1 ChainingArguments production

(11th line) "Info [8]" should be "info [8]".

• Clause 12.4.1 (Blue Book page 133) - In ASN.1 ChainingResults production (2nd line)

"Info [0]" should be "info [0]".

• Clause 14.3.1 (Blue Book page 137) - In ASN.1 ChainedX production (4th line)

"ChainingArgument"  $should\ be$  "Chaining Arguments".

• Clause 14.3.1 (Blue Book page 137) - In ASN.1 ChainedX production (6th line)

"ChainingResult" should be "ChainingResults".

- Clause 14.3.2.1 (Blue Book page 137) In the first sentence "ChainingArgument" should be ChainingArguments".
- Clause 14.3.3.1 (Blue Book page 137) In the first sentence "ChainingResult" should be "ChainingResults".
- Clause 15.1.1 (Blue Book page 138) In the list component a) after "a) invalidReference." add the following text:

"The DSA returning this error detected an error in the calling DSA's knowledge as

specified in the referenceType chaining argument;".

• Clause 15.1.1 (Blue Book page 138) - In the list component b) after "b) loopDetected." add the following text:

"The DSA returning this error detected a loop in the knowledge information in the Directory.".

• Clause 18.6.6.5 (Blue Book page 156) - In list item 7), in the first sentence

"ChainingArgument" should be "ChainingArguments".

• Clause 18.6.6.5 (Blue Book page 156) - In list item 8), in the first sentence,

"ChainingArgument" should be "ChainingArguments".

• Clause 18.6.6.5 (Blue Book page 156) - In list item 9), in the second sentence

"ChainingArgument" should be "ChainingArguments".

• Clause 18.9.1.2 (Blue Book page 161) - 4th paragraph, the last phrase:

"ChainingArgument" should be "ChainingArguments".

- Annex A (Blue Book page 162) In ASN.1 IMPORTS list (2nd line) "InformationFramework, abstractService" should be "informationFramework, abstractService,".
- Annex A (Blue Book page 162) In ASN.1 IMPORTS list (8th line)
  "DistributedDirectoryObjectIdentifiers," should be
  "DistributedDirectoryObjectIdentifiers".
- Annex A (Blue Book page 162) In ASN.1 IMPORTS list (24th line) "directoryAbstractService" should be "directoryAbstractService;".
- Annex A (Blue Book page 162) In ASN.1 dsa production (6th line) "chainedSearchPort" should be "chainedSearchPort,".

• Annex A (Blue Book page 163) - In ASN.1 DSAUnbind production (1st line)

"::= UNBIND" should be "::= ABSTRACT-UNBIND".

• Annex A (Blue Book pages 163 and 164) - In each of the following ASN.1 productions:

ChainedRead, ChainedCompare, ChainedList, ChainedSearch, ChainedAddEntry, ChainedRemoveEntry, ChainedModifyEntry and ChainedModifyRDN

- a) In the 4th line of each ASN.1 production listed above, "ChainingArgument" should be "ChainingArguments".
- b) In the 7th line of each ASN.1 production listed above, "ChainingResult" should be "ChainingResults".

• Annex A (Blue Book page 164) - In ASN.1 ChainingArguments production (4th line)

"{notStarted}" should be "{notStarted},".

• Annex A (Blue Book page 165) - In ASN.1 TraceInformation production

Replace the complete ASN.1 production with the following:

"TraceInformation ::= SEQUENCE OF TraceItem

Traceltem ::= SET	{	
dsa	[0]	Name,
targetObject	[1]	Name OPTIONAL,
operationProgress	[2]	OperationProgress}" .

Annex D (Blue Book page 174) - In ASN.1 comment below it-ot-dsa • production "-- part types --" should be "-- port types --".

Date: 1992-05-24

# Information processing systems - Open Systems Interconnection - The Directory -

**Part 5:** Protocol Specifications

**TECHNICAL CORRIGENDUM 1** 

(covering resolutions to defect report 052)

# Clause 7

Add a new subclause 7.5 as follows:

#### 7.5 Versions and the rules of extensibility

The Directory may be distributed and more than two Directory Application Entities may interoperate to service a request. The Directory AEs may be implemented conforming to the 1988 edition of the specification or some future edition, which may or may not be represented by different version numbers. The version number is negotiated to the highest common version number between two directly binding Directory AEs.

**Note** - for example a version 1 & 2 DUA may bind to a version 1 DSA with a resulting agreed version of 1. That DSA may further bind to a version 1 & 2 DSA with a resulting agreed version of 1. Even though both the DUA and this remote DSA can support version 2, the negotiated versions are 1.

Version negotiation is used only to support those aspects of communication which must be common between the two directly bound Directory AEs.

**Note** - for example, basic understanding of PDU exchange (ROSE), common understanding of name resolution would be aspects that would be agreed through version negotiation.

A DUA may issue a request conforming to the highest level it supports. Using the rules of extensibility defined below, that request shall be forwarded to the appropriate DSA that will respond to that request, regardless of the level of the intervening DSAs. The responding DSA shall function as defined below.

#### 7.5.1 DUA

7.5.1.1 When establishing an association, i.e., binding, utilizing the DAP, the version negotiated shall only affect the point to point aspects of the protocol exchanged between the DUA and the DSA to which it is connected. Subsequent requests on the association shall not be constrained by the version negotiated.

There are no point to point aspects of the DAP that are currently indicated by different versions.

7.5.1.2 The DUA may initiate requests at the highest level version of the specification of that request it supports. If one or more elements of the request are critical, it shall indicate these extensions in the Extension parameter.

7.5.1.3 When processing a known error type with unknown indicated problems and parameters, a DUA shall:

a) not consider the receipt of unknown indicated problems and parameters as a protocol violation (i.e., it shall not issue a **RO-U-REJECT**); and

b) optionally report the additional error information to the user.

#### 7.5.2 DSA

7.5.2.1 When accepting an association, i.e., binding, utilizing the DAP, the version negotiated shall only affect the point to point aspects of the protocol exchanged between the DUA and the DSA to which it is connected. Subsequent requests received on the association shall not be constrained by the version negotiated.

There are no point to point aspects of the DAP that are currently indicated by different versions.

7.5.2.2 When establishing or accepting an association, i.e., binding, utilizing the DSP, the version negotiated shall only affect the point to point aspects of the protocol exchanged between the DSA. Subsequent requests or responses on the association shall not be constrained by the version negotiated.

There are no point to point aspects of the DSP that are currently indicated by different versions.

7.5.2.3 If any DSA detects an extension whose semantic is unknown and indicated as Critical, it shall return an **unavailableCriticalExtension** (as a **serviceError** or a **partialOutcomeQualifier**).. Otherwise, when processing a Directory PDU a DSA shall:

- 1. ignore all unknown bit name assignments within a bit string; and
- 2. ignore all unknown named numbers in an ENUMERATED type or INTEGER that is being used in the enumerated style; and
- 3. ignore all tag values not defined in the abstract syntaxes of this version of the Directory standard (these may be additional values at the end of a SEQUENCE or unknown types within a SET or CHOICE).

7.5.2.4 If the PDU is a request, the DSA shall forward the request containing the unknown values to any additional DSAs determined by the name resolution process.

7.5.2.5 If the PDU is a response, the DSA shall merge the unknown values as necessary and forward to the initiating DSA or DUA.

7.5.2.6 When processing a known error type with unknown indicated problems and parameters, a DSA shall not consider this a syntax error (i.e., it shall not issue a **RO-U-REJECT**).

Date: 1995-\_\_-

# Information processing systems - Open Systems Interconnection - The Directory -

Part 5: Protocol Specifications

**TECHNICAL CORRIGENDUM 2** 

(covering resolutions to defect reports 074, 075)

# Clause 7.5.1.3 of Technical Corrigendum 1 to X.519 | 9594-5

Renumber this clause to 7.5.1.4 and insert in front of it the following:

7.5.1.3 When processing a response, a DUA shall:

- a) ignore all unknown bit name assignments within a bit string; and
- b) ignore all unknown named numbers in an **ENUMERATED** type or **INTEGER** type that is being used in the enumerated style, provided the number occurs as an optional element of a **SET** or **SEQUENCE**; and
- c) ignore all unknown elements in SETs, at the end of SEQUENCEs, or in CHOICEs where the CHOICE is itself an optional element of a SET or SEQUENCE.
- d) not consider the receipt of unknown attribute types and attribute values as a protocol violation; and
- e) optionally report the unknown attribute types and attribute values to the user.

Page 10

## Clause 9.1.1

Replace (b) with the following:

(b) The bind security level(s) for which conformance is claimed (none, simple, strong — and if simple, then whether without-password, with-password, or with protected-password); and whether the DUA can generate signed arguments or validate signed results.

#### **Clause 9.2.1**

Replace (d) with the following, and renumber the remaining items in the list:

(d) If conformance is claimed to the **directoryAccessAC** application context, the bind security level(s) for which conformance is claimed (none, simple, strong — and if simple, then whether without-password, with-password, or with protected-password); whether the DSA can perform originator authentication as defined in [Part 4 | X.518] clause 18.9.1 and if so, whether identity-based or signature-based; and whether the DSA can perform result authentication as defined in [Part 4 | X.518] clause 18.9.2.

(e) If conformance is claimed to the **directorySystemAC** application context, thebind security level(s) for which conformance is claimed (none, simple, strong — and if simple, then whether without-password, with-password, or with protected-password); whether the DSA can perform originator authentication as defined in [Part 4 | X.518] clause 18.9.1 and if so, whether identity-based or signature-based; and whether the DSA can perform result authentication as defined in [Part 4 | X.518] clause 18.9.2.

# Minor Editorial Corrections to Recommendation X.519 (1988)

• Clause 7.2.2 (Blue Book page 180) - In ASN.1 chainedReadASE production (5th line)

"chainedCompare" should be "chainedCompare,".

• Annex B (Blue Book page 187) - In ASN.1 IMPORTS list (15th line) Delete "DSAReferral".

• Annex C (Blue Book page 189) - In ASN.1 id-as-acse production (3rd line)

"version1(1)}" should be "version (1)}".

Date: 1995-\_\_-

# Information processing systems - Open Systems Interconnection - The Directory -

Part 6: Selected Attribute Types

**TECHNICAL CORRIGENDUM 1** 

(covering resolutions to defect report 076)

# Clause 6.2

Replace the first four paragraphs (up to the words 'single space character') with the following:

In the syntaxes specified in 6.2.1 to 6.2.4, the following spaces are regarded as not significant:

- leading spaces (i.e. those preceding the first character that is not a space);

- trailing spaces (i.e. those following the last character that is not a space);

- multiple consecutive spaces (these are taken as equivalent to a single space character).

A string consisting entirely of spaces is equivalent to a string containing exactly one space.

# Minor Editorial Corrections to Recommendation X.520 (1988)

• Every page - In the footer of each page "Rec. 520" should be "Rec. X.520".

• Clause 5.5.2 (Blue Book page 195) - In ASN.1 Criteria production (3rd line)

"Type" should be "type".

• Clause 5.5.2 (Blue Book page 195) - In ASN.1 Criteria production (6th line)

"not [3] Criteria" should be "not [3] Criteria}".

• Clause 5.5.2 (Blue Book page 196) - In ASN.1 intermediate-filter production (6th line)

"type streetAddress" should be "type streetAddress,".

• Clause 5.5.3 (Blue Book page 196) - In ASN.1 businessCategory production (5th line)

"{attributeType 15))" should be "{attributeType 15}".

• Clause 5.7.2 (Blue Book page 198) - In ASN.1 TelexNumber production

(2nd, 4th and 6th lines) - 3 instances of "PrintableString," should each be "PrintableString".

• Clause 5.7.4 (Blue Book page 198) - In the 2nd paragraph, 1st sentence

"Recommendation E.1xx" should be "Recommendation E.123".

• Clause 5.7.6 (Blue Book page 199) - In ASN.1

internationalISDNNumber production

(4th line) "ub-isdn-address" should be "ub-international-isdn-number".

• Clause 5.7.7 (Blue Book page 199) - In ASN.1 registeredAddress production (3rd line)

"26}." should be "26}".

• Clause 5.9.1 (Blue Book page 200) - In ASN.1 PresentationAddress production

(1st line) "::= SEQUENCE" should be "::= SEQUENCE {".

• Clause 6.2.1 (Blue Book page 202) - In ASN.1 caseExactString production

(1st line) "caseExactString ATTRIBUTE-SYNTAX" should be

"caseExactStringSyntax ATTRIBUTE-SYNTAX".

• 12th	Annex A (Blue Book page 205) - In ASN.1 IMPORTS list (4th and lines) both instances of "joint-ISO-CCITT" should be "joint-iso-ccitt".
• produ	Annex A (Blue Book page 206) - In ASN.1 organizationName action (4th line) "ub-organization-Name" should be "ub-organization-name".
• (3rd	Annex A (Blue Book page 206) - In ASN.1 searchGuide production line) "Criteria" should be "Guide".
• line)	Annex A (Blue Book page 206) - In ASN.1 Criteria production (4th "and [1] SET OF Criteria" should be "and [1] SET OF Criteria,".
• line)	Annex A (Blue Book page 206) - In ASN.1 Criteria production (5th "or [2] SET OF Criteria" should be "or [2] SET OF Criteria,".
• (3rd	Annex A (Blue Book page 206) - In ASN.1 CriteriaItem production - 7th lines) "equality [0] AttributeType substrings [1] AttributeType greaterOrEqual [2] AttributeType lessOrEqual [3] AttributeType approximateMatch [4] Attribute"
	should be "equality [0] AttributeType, substrings [1] AttributeType, greaterOrEqual [2] AttributeType, lessOrEqual [3] AttributeType, approximateMatch [4] AttributeType}".
• Teleto	Annex A (Blue Book page 207) - In ASN.1 exTerminalIdentifier production (2nd line) "teletexTerminalPrintableString" should be "teletexTerminal PrintableString".
• produ	Annex A (Blue Book page 208) - In ASN.1 internationISDNNumber uction (4th line) "ub-isdn-address" should be "ub-international-isdn-number".
• produ	Annex A (Blue Book page 209) - In ASN.1 caseIgnoreSyntax action (1st line)

"caseIgnoreSyntax" should be "caseIgnoreStringSyntax".

Annex C (Blue Book page 212) - In ub-teletex-terminal-id the upper bound "INTEGER ::= 24" should be "INTEGER ::= 1024".

•

Date: 1991-08-20

# Information processing systems - Open Systems Interconnection - The Directory -

Part 7: Selected Object Classes

**TECHNICAL CORRIGENDUM 1** 

(covering resolutions to defect report 005)

# Annex B, Clause B.11

Remove Note 1. Renumber existing Note 2 to new Note 1.

Date: 1992-03-17

# Information processing systems - Open Systems Interconnection - The Directory -

Part 7: Selected Object Classes

**TECHNICAL CORRIGENDUM 2** 

(covering resolutions to defect report 055)
# Annex B, Figure B.1

Add a line to the figure permitting Group of Names to be subordinate to Organizational Unit, as illustrated below:



# Minor Editorial Corrections to Recommendation X.521 (1988)

```
Clause 5.1 (Blue Book page 215) - In ASN.1
telecommunicationsAttributeSet
      production (4th line) "iSDNAddress," should be "internationalISDNNumber,".
      Clause 5.1 (Blue Book page 215) - In ASN.1
telecommunicationsAttributeSet
      production (7th line) remove "x121Address,"
      Clause 5.1 (Blue Book page 215) - In ASN.1
telecommunications Attribute Set
      production (10th line) "registeredAddress}" should be
      "registeredAddress,
      x121Address}".
      Annex A (Blue Book page 220) - In ASN.1 IMPORTS list (6th line)
٠
      "CACertificate" should be "cACertificate".
      Annex A (Blue Book page 220) - In ASN.1
telecommunicationsAttributeSet
      production (4th line) "iSDNAddress," should be "internationalISDNNumber,".
      Annex A (Blue Book page 220) - In ASN.1
telecommunications Attribute Set
      production (8th and 9th lines)
      "x121Address, preferredDeliveryMethod, destinationIndicator,
      registeredAddress}" should be
      "preferredDeliveryMethod,
      destinationIndicator.
      registeredAddress,
      x121Address}".
```

Date: 1991-08-20

# Information processing systems - Open Systems Interconnection - The Directory -

**Part 8:** Authentication Framework

**TECHNICAL CORRIGENDUM 1** 

(covering resolutions to defect reports 009, 015, 016, 019, 031)

## Subclause 7.2

In the first sentence of the second paragraph, which begins "where SN is the serial number..." add the following parenthetical expression immediately after "used to sign the certificate,":

"(the identifier is the same as that defined in the value notation of the SIGNED MACRO)".

Page 7

## Subclause 7.6

Add the following text to the end of the clause:

"The following ASN.1 data types can be used to represent certificates and a certification path:

Certificates ::= SEQUENCE {
 userCertificate Certificate
 certificationPath ForwardCertificationPath OPTIONAL}
CertificationPath ::= SEQUENCE {
 userCertificate Certificate
 theCACertificates SEQUENCE OF
 CertificatePair OPTIONAL}

In addition, the following ASN.1 data type can be used to represent the forward certification path. This component contains the certification path which can point back to the originator.

### ForwardCertificationPath ::= SEQUENCE OF CrossCertificates "

Page 11

### Subclause 9.4 8)

Replace "A{ $r^B$ }" with "A{ $r^B$ , B}".

Page 19

## Annex C, Clause C.6.2

Replace the last sentence of the clause with the following:

"It must be ensured that  $e > log_2(n)$ . If not, then the simple operation of taking the integer e'th root of a ciphertext block will disclose the plaintext."

# Annex D, Subclause D.2

Leave the first sentence as is. Replace all remaining text of clause D.2 with the following:

"The square-mod hash function that was formerly described in this annex is deprecated."

# Minor Editorial Corrections to Recommendation X.509 (1988)

Clause 7.2 (Blue Book page 58) - In ASN.1 Certificate production (2nd line) "DEFAULT 1988," should be "DEFAULT v1988,". Clause 7.2 (Blue Book page 58) - In ASN.1 Certificate production (3rd line) "SerialNumber," should be "CertificateSerialNumber,". Clause 7.2 (Blue Book page 58) - In ASN.1 Certificate production (4th line) "AlgorithmIdentifier" should be "AlgorithmIdentifier,". Clause 7.2 (Blue Book page 58) - In ASN.1 Certificate production (5th line) "Name" should be "Name,". Clause 7.2 (Blue Book page 58) - In ASN.1 Version production (1st line) "{1988(0)}" should be "{v1988(0)}". Clause 7.2 (Blue Book page 58) - In ASN.1 SerialNumber production (1st line) "SerialNumber" should be "CertificateSerialNumber". Clause 7.2 (Blue Book page 58) - In ASN.1 SubjectPublicKeyInfo production (3rd line) "AlgorithmIdentifier" should be "AlgorithmIdentifier,". Clause 7.2 (Blue Book page 58) - In ASN.1 AlgorithnIdentifier production (3rd line) "OBJECT IDENTIFIER" should be "OBJECT IDENTIFIER,". Clause 7.6 (Blue Book page 59) - In ASN.1 CertificatePair production (3rd line) a) the letter o in "[o]" should be the numeral zero "[0]". b) "Certificate OPTIONAL" should be "Certificate OPTIONAL,". Clause 8.5 (Blue Book page 63) - In ASN.1 SIGNED macro (last line) • "END -- OF SIGNED. )" should be " ) END -- OF SIGNED".

Clause 8.6 (Blue Book page 63) - In ASN.1 SIGNATURE macro (last

line)

"END -- OF SIGNATURE.)" should be " ) END -- OF SIGNATURE".

Clause 10.2.6.4 (Blue Book page 69) - In ASN.1 CertificateList production (8th line)
 "issuer Name, CertificateSerialNumber subject," should be
 "issuer Name,
 subjectCertificateSerialNumber,".

• Annex G (Blue Book page 79) - In ASN.1 IMPORTS list (7th line) "ub-user-passwordFROM" should be "ub-user-password FROM".

• Annex G (Blue Book page 79) - In ASN.1 Certificate production (2nd line)

"DEFAULT 1988," should be "DEFAULT v1988,".

• Annex G (Blue Book page 79) - In ASN.1 Certificate production (3rd line)

"SerialNumber," should be "CertificateSerialNumber,".

• Annex G (Blue Book page 79) - In ASN.1 Version production (1st line)

"{1988(0)}" should be "{v1988(0)}".

• Annex G (Blue Book page 79) - In ASN.1 SerialNumber production (1st line)

"SerialNumber" should be "CertificateSerialNumber".

• Annex G (Blue Book page 79) - In ASN.1 Validity production (2nd line)

"notBefore UTCTime" should be "notBefore UTCTime,".

• Annex G (Blue Book page 79) - In ASN.1 SubjectPublicKeyInfo production (2nd line)

"AlgorithmIdentifier" should be "AlgorithmIdentifier,".

• Annex G (Blue Book page 79) - In ASN.1 CertificateList production (5th line)

"SIGNEDSEQUENCE" should be "SIGNED SEQUENCE".

• Annex G (Blue Book page 79) - In ASN.1 CertificateList production (8th line)

"userCertificate SerialNumber," should be "subject CertificateSerialNumber,".

• Annex G (Blue Book page 79) - In ASN.1 UserCertificate production (2nd line)

"ATTRIBUTE-SYNTAXCertificate" should be "ATTRIBUTE-SYNTAX Certificate".

• Annex G (Blue Book page 79) - In ASN.1 CACertificate production (2nd line)

"ATTRIBUTE-SYNTAXCertificate" should be "ATTRIBUTE-SYNTAX Certificate".

• Annex G (Blue Book page 80) - In ASN.1 CrossCertificatePair production (2nd line)

"ATTRIBUTE-SYNTAXCertificatePair" should be "ATTRIBUTE-SYNTAX CertificatePair".

• Annex G (Blue Book page 80) - In ASN.1 CertificateRevocationList production

(2nd line) "ATTRIBUTE-SYNTAXCertificateList" should be "ATTRIBUTE-SYNTAX CertificateList".

• Annex G (Blue Book page 80) - In ASN.1 AuthorityRevocationList production

(2nd line) "ATTRIBUTE-SYNTAXCertificateList" should be "ATTRIBUTE-SYNTAX CertificateList".

• Annex G (Blue Book page 80) - In ASN.1 ENCRYPTED macro (4th line)

"(VALUE BIT STRING" should be "(VALUE BIT STRING)"

# Appendix B

# Technical Corrigenda to Rec. X.500 (1993) | ISO/IEC 9594 : 1995 Edition 2

### Summary

X.500 | ISO/IEC 9594-1 (1993)

- none

#### X.501 | ISO/IEC 9594-2 (1993)

- Technical Corrigendum 1 (covering resolutions to defect reports 088, 089, 090, 091, 102, 104, 125)
- Draft Technical Corrigendum 2 (covering resolutions to defect reports 134, 136)

#### X.511 | ISO/IEC 9594-3 (1993)

- Technical Corrigendum 1 (covering resolutions to defect report 085)
- Draft Technical Corrigendum 2 (covering resolutions to defect reports 119, 133)

#### X.518 | ISO/IEC 9594-4 (1993)

- Technical Corrigendum 1 (covering resolutions to defect reports 094, 106, 108, 109, 111, 112, 113, 114, 115)
- Draft Technical Corrigendum 2 (covering resolutions to defect reports 116, 117, 118, 119, 120, 121, 130)

#### X.519 | ISO/IEC 9594-5 (1993)

Technical Corrigendum 1 (covering resolutions to defect reports 075, 124)

#### X.520 | ISO/IEC 9594-6 (1993)

Technical Corrigendum 1 (covering resolutions to defect reports 076, 122, 127)

#### X.521 | ISO/IEC 9594-7 (1993)

– none

#### X.509 | ISO/IEC 9594-8 (1993)

- Technical Corrigendum 1 (covering resolutions to defect report 128)
- Technical Corrigendum 2 (covering resolutions to defect reports 077, 078, 083, 084)

 Draft Technical Corrigendum 3 (covering resolutions to defect reports 80, 92, 100)

## X.525 | ISO/IEC 9594-9 (1993)

- Technical Corrigendum 1 (covering resolutions to defect reports 097, 099, 123)
- Draft Technical Corrigendum 2 (covering resolutions to defect report 132)

Date: 1995-\_\_-

# Recommendation X.501 (1993) | ISO/IEC 9594-2:1995:

# Information processing systems - Open Systems Interconnection - The Directory - Models

**TECHNICAL CORRIGENDUM 1** 

(covering resolutions to defect reports 088, 089, 090, 091, 102, 125)

### Clause 12.6.5

Add the following new paragraph to the end of 12.6.5:

If an entry which is itself a subschema administrative point is not included for the purposes of subschema administration in its subschema subentry then the subschema from the immediately superior subschema administrative area is used to govern the entry.

### Clause 12.6.6

Replace item c) in 12.6.6 with the following text:

c) the **superiorStructureRules** component identifies permitted superior structure rules for entries governed by the rule. If this component is omitted, then the DIT structure rule applies to a subschema administrative point.

Replace the ASN.1 specification of **STRUCTURE-RULE** with:

STRUCTURE-RULE ::= CLAS	S {
&nameForm	NAME-FORM,
&SuperiorStructureRules	STRUCTURE-RULE OPTIONAL,
&id	RuleIdentifier }
WITH SYNTAX {	-
NAME FORM	&nameForm
[ SUPERIOR RULES	&SuperiorStructureRules ]
ID	&id }

Page 50

# Clause 14.7.3

Replace the paragraph "The **information** component ..." with:

The **description** component contains a natural language description of the algorithms associated with the rule.

The **information** component contains the ASN.1 definition of the assertion syntax of the rule.

Page 96

## Clause 24.3

In the ASN.1 specification of **ModifyOperationalBindingArgument**, replace the **newAgreement** component with:

newAgreement [7] OPERATIONAL-BINDING&Agreement ({OpBindingSet}{@binding Type}) OPTIONAL,

# Clause 24.5

Replace the text in item a) with:

a) **invalidID**: The operational binding ID given in the request is not known by the receiving DSA or is in the wrong state for the requested operation.

Page 106

# Annex B

Replace the definition of **STRUCTURE-RULE** with the amended definition shown above for clause 12.6.6

# Draft Technical Corrigendum 2 to Recommendation X.501 (1993) | ISO/IEC 9594-2:1995

This corrects the defect reported in defect report 9594/134.

Page \_\_\_

# Clause 24.2

Delete the Note that states that only the **identifier** component of **OperationalBindingID** is present.

Page \_\_\_

### Clause 24.4

Replace the paragraph that begins "The identification of the operational binding instance" with the following:

The identification of the operational binding instance to be terminated is given by **bindingID**. The **version** component present in **bindingID** is ignored.

This corrects the defect reported in defect report 9594/136

Page \_\_\_

## Clause 8.2

In the ASN.1 definition of Attribute, replace (1..MAX) by (0..MAX), i.e.

Attribute ::= SEQUENCE { type ATTRIBUTE.&id ({ SupportedAttributes }), values SET SIZE (0 .. MAX) OF ATTRIBUTE.&TYPE ({ SupportedAttributes}{@type})}

Replace the paragraph immediately below Note 2 with the following:

An attribute may be designated as single valued or multi-valued. The Directory shall ensure that single valued attributes have only one value. Attributes in storage shall have at least one value, but may at times appear to have zero values when transferred to or from storage (e.g. because values are hidden by access control).

Date: 1995-\_\_-

Recommendation X.511 (1993) | ISO/IEC 9594-3:1995:

# Information processing systems - Open Systems Interconnection - The Directory - Abstract Service Definition

**TECHNICAL CORRIGENDUM 1** 

(covering resolutions to defect report 085)

# Clause 10.1.2

Add the following new paragraph to the end of 10.1.2:

The **CommonArguments** (see 7.3) include a specification of the service controls applying to the request.

# Draft Technical Corrigendum 2 to Recommendation X.511 (1993) | ISO/IEC 9594-3:1995

This corrects the defect reported in defect report 9594/104.

Page \_\_\_

Clauses 7.11.1, 10.2.5.1, 11.1.2

In each of these clauses, replace "aliasedObjectName" or "AliasedObjectName" with "aliasedEntryName".

This corrects the defect reported in defect report 9594/119.

Page \_\_\_

Clause 10.1.3

Append the following to paragraph b):

See 12.6.

Page \_\_\_

Clause 12.6

Append the following new paragraph:

Before acting on a continuation reference, the DUA shall check that an identical request to the one that would be generated from the continuation reference has not already been issued as a part of processing the same user request. If it has, the DUA shall not act on the continuation reference. This avoids loops.

This corrects the defect reported in defect report 9594/133.

Page \_\_\_

Clause 7.3.1

Add the following note after the second paragraph of clause 7.3.1:

NOTE — The first extension is given the identifier 1 and corresponds to bit 1 of the BIT STRING. Bit 0 of the BIT STRING is not used.

Date: 1995-\_\_-

# Recommendation X.518 (1993) | ISO/IEC 9594-4:1995:

# Information processing systems - Open Systems Interconnection - The Directory - Procedures for Distributed Operation

**TECHNICAL CORRIGENDUM 1** 

(covering resolutions to defect reports 094, 108, 109, 111, 112, 113, 114, 115)

## Clause 10.4

In item d), delete the word "immediately".

Page 20

# Clause 14.2

Add the following new paragraph to the end of 14.2:

**Note:** The flowcharts which accompany the procedures are intended to be used as aids towards understanding the procedures. They are not to be considered as being a precise alternative to the textual descriptions. Where there is a disparity between the textual description and the flowchart for a particular procedure, it is intended that the textual description take precedence.

Page 33

### Clause 17.3.3.1

Add the following new item b) and the relabel the current items b) - e) as c) - f):

b) ChainingArguments.operationProgress is set to the value of CommonArguments.operationProgress.

# Page 38

# Clause 18.3.1

In step 2), replace the text "continue with step 7)" with "continue at step 5)".

In step 3) replace the text "If not completed" with "If not completed".

In step 4) replace the text "if the Name Resolution Phase is already completed" with "if **nameResolutionPhase** is **completed**".

In step 6) remove the text "(i.e., is of type shadow)" from the second dash point.

In step 7), 4th dash point, replace the text "continue at step 10)" with "continue at step 8)".

In step 7), 6th dash point, replace the text "whereas 1988 edition DSAs set **aliasedRDNs** to i" with "(whereas 1988 edition DSAs set **aliasedRDNs** to the number of RDNs in **aliasedEntryName**)". Replace the text "continuing at step 9)" with "continuing at step 1)".

In step 8) replace the text "if the Name Resolution Phase is already completed" with "if **nameResolutionPhase** is **completed**".

In step 9) replace the text "If the Name Resolution Phase is completed" with "if **nameResolutionPhase** is **completed**".

Page 42

## Clause 18.3.4.1

Add the following new paragraph to the start of step 8):

If the operation is Search with searchAliases set to TRUE and the DSE is of type alias then if chainingArguments.excludeShadows is FALSE return entry suitable, if it is TRUE return entry unsuitable.

Replace Figure 12 with the following amended figure:



Figure 12 – Check Suitability Procedure

# Clause 19.1.4

Replace the current steps 2) and 3) with the following text:

- If the operation is either to move an entry or to both move an entry and change its Relative Distinguished Name, go to step 3). If the operation is to only change the Relative Distinguished Name of an entry, go to step 4).
- 3) The operation shall be performed according to the definition in 11.4.1 of ITU-T Rec. 511 | ISO/IEC 9594-3. If either the old superior, the new superior, the entry or any of its subordinates are not in this DSA, or if the new superior has NSSRs, then the operation shall be rejected with UpdateError affectsMultipleDSAs. The DSA shall ensure that no other entry with the new name already exists, otherwise it shall return an UpdateError with problem entryAlreadyExists. The DSA shall ensure that the new name of the entry conforms to the sub-schema, otherwise it shall return an appropriate AttributeError or UpdateError. If none of these problems arise then move the entry (changing the RDN if required) and go to step 9).

## Page 51, 52

## Clause 19.3.1.2.1

Replace the current step 1) with the following text:

1) If the service control **subentry** is set, then go to Step 5), otherwise go to Step 2).

Add the new step 5):

- 5) For each subentry e' immediately subordinate to DSE e execute the following steps:
  - a) Check the ACI in e'. If the ACI disallows listing the RDN of e', then skip this DSE. Otherwise add the RDN of e' to listResult.subordinates with aliasEntry set to False and fromEntry set according to whether e' is a copy.
  - b) Check if time, size or administrative limit is exceeded. If so, set limitProblem accordingly in partialOutcomeQualifier and return.

Add the new step 6):

6) Return to the operation dispatcher.

Page 56

Clause 19.3.2.2.1

In step 4), replace the first line with the following:

If **subset** is **baseObject**, or if **entryOnly** is **TRUE** then continue with this step, otherwise go to step (5).

If one of the following is **TRUE**:

In step 4) substep i), replace the text "go to Step 6)" with "return".

In step 4), remove substep iii) and replace the final line with: Return.

In step 7), replace b) with the following:

- b) For all cases:
  - (i) If subset is oneLevel, set entryOnly to TRUE.

(ii) Recursively execute Search Procedure(I) for target DSE e'.

Page 56

## Clause 19.3.2.2.2

Replace step 2) with the following:

2) If the DSE is not of type **cp** then ignore it and return to Step 1).

In step 3) remove the first part of the first sentence up to, and including, the comma.

#### Page 78

### Clause 24.1.4.1.1

In the first sentence immediately following the ASN.1 specification of **Vertex**, replace the text up to the first comma with:

The **contextPrefixInfo** component is the sequence of RDNs that form the distinguished name of the immediate superior of the new context prefix

# Draft Technical Corrigendum 2 to Recommendation X.518 (1993) | ISO/IEC 9594-4:1993

This corrects the defect reported in defect report 9594/116.

Page \_\_\_

Clause 19.3.2.2.3

In steps 2) and 3), replace the words "targetObject or **baseObject**" with:

targetObject or baseObject or any of the previous values of the target object in chainingArguments.traceInformation

This corrects the defect reported in defect report 9594/117.

Page \_\_\_

Clause 20

Add the following text to the end of paragraph 2:

Within each of these sets there may be continuation references which occur more than once. The sets should be scanned and any duplicates found should be discarded.

### Clause 20.4.4

Add the following text to the end of step 3):

Within each set, remove any duplicates.

This corrects the defect reported in defect report 9594/118.

Page \_\_\_

### Clause 20.1.1

Add the following Note after the first paragraph:

NOTE — Setting **nameResolveOnMaster** to **TRUE** eliminates the possibility of multiple paths during name resolution by (1) ignoring shadow entries and (2) by ensuring that only one DSA may proceed with name resolution in situations where a complex DIT distribution would otherwise permit more than one to proceed. This is achieved by allowing only the DSA holding the master entry corresponding to the first **nextRDNToBeResolved** RDNs of the target object name to continue with name resolution. Any other DSAs will not be able to proceed even though they may hold master entries which match more of the target object name.

This corrects the defect reported in defect report 9594/119.

Page \_\_\_

## Clause 16.1.2

Add a new data structure **referralRequests** to the end of the list:

- referralRequests - A list of the requests or subrequests which have been chained as a result of executing referrals. Each such request/subrequest is summarised in the form of a **TraceItem**. This list is used by the Loop Avoidance procedure of 15.4.2.

Page \_\_\_

#### Clause 20.4.5

In Step 5), relabel substep b) as c), update the reference to this clause in a), and add a new substep b):

b) If the request or subrequest to be chained is the result of executing a referral then an extra check for loop avoidance is required. Check if an item with the same targetObject, operationProgress and target DSA occurs in referralRequests. If so then take the action specified in a). If not, then add a new TraceItem to referralRequests with the following components:

targetObject and operationProgress set to the value of the chained request/subrequest;
dsa set to the name of the DSA to which the request/subrequest is to be chained.

This corrects the defect reported in defect report 9594/120.

Page \_\_\_

## Clause 21

In each of steps 2) and 3), replace the sentence "Remove all duplicates." with

Remove all duplicates, giving preference to master information over shadow information.

This corrects the defect reported in defect report 9594/121.

Page \_\_\_

### Clause 18.3.1 / Figure 9

In Figure 9, replace the label "cp and other shadow" with two labels "cp and shadow" and "other", labelling the vertical lines to the left and right of the original label respectively.

Page \_\_\_

Clause 18.3.3

In Step 3), change the value to which **operationProgress.nextRDNToBeResolved** is set from **i** to **m**.

Page \_\_\_

Clause 19.3.2.2.1

In Step 1), replace "is a prefix of e's DN" with "is a prefix of the DN of e".

This corrects the defect reported in defect report 9594/130.

Page \_\_\_

# Clauses 24.1.4.1.1 and 24.1.4.2

In each of these clauses, add the following Note after the paragraph that defines the **accessPoints** parameter:

NOTE — The master access point within **accessPoints** is the same as that passed in the **accessPoint** parameter of the Establish and Modify Operational Binding operations.

Date: 1995-\_\_-

Recommendation X.519 (1993) | ISO/IEC 9594-5:1995:

# Information processing systems - Open Systems Interconnection - The Directory - Protocol Specifications

**TECHNICAL CORRIGENDUM 1** 

(covering resolutions to defect reports 075, 124)

## Clause 7.1

Add the following note at the end of the clause (just before clause 7.1.1):

**Note** — The abstract syntaxes defined in this clause that import from module **DirectoryShadowAbstractService** will use a mixture of implicit and explicit tags.

Page \_\_\_

## Clause 9.1.1

Replace (b) with the following:

(b) The bind security level(s) for which conformance is claimed (none, simple, strong — and if simple, then whether without-password, with-password, or with protected-password); and whether the DUA can generate signed arguments or validate signed results.

### Clause 9.2.1

Replace (e) with the following, and renumber the remaining items in the list:

- (e) If conformance is claimed to the **directoryAccessAC** application context, the bind security level(s) for which conformance is claimed (none, simple, strong — and if simple, then whether without-password, with-password, or with protected-password); whether the DSA can perform originator authentication as defined in [Part 4 | X.518] clause 18.9.1 and if so, whether identity-based or signature-based; and whether the DSA can perform result authentication as defined in [Part 4 | X.518] clause 18.9.2.
- (f) If conformance is claimed to the **directorySystemAC** application context, the bind security level(s) for which conformance is claimed (none, simple, strong — and if simple, then whether without-password, with-password, or with protected-password); whether the DSA can perform originator authentication as defined in [Part 4 | X.518] clause 18.9.1 and if so, whether identity-based or signature-based; and whether the DSA can perform result authentication as defined in [Part 4 | X.518] clause 18.9.2.

Date: 1995-\_\_-

# Recommendation X.520 (1993) | ISO/IEC 9594-6:1995:

# Information processing systems - Open Systems Interconnection - The Directory - Selected Attribute Types

**TECHNICAL CORRIGENDUM 1** 

(covering resolutions to defect reports 076, 122, 126)

# Clause 5

Replace the ASN.1 specification with:

DirectoryString { INTEGER : maxSize } ::= CHOICE {	
teletexString	TeletexString (SIZE (1maxSize)),
printableString	PrintableString (SIZE (1maxSize)),
bmpString	BMPString (SIZE (1maxSize)),
universalString	UniversalString (SIZE (1maxSize)) }
universalString	UniversalString (SIZE (1maxSize)) }

Replace the final paragraph with:

Some implementations of the Directory do not support **BMPString** or **UniversalString**, and will not be able to generate, match, or display attributes having such a syntax.

## Page 15

## Clause 6.1.1

In the first paragraph, replace the text "attribute value of type **DirectoryString**" with:

attribute value of type **PrintableString**, **NumericString**, **TeletexString**, **BMPString**, **UniversalString**, or **DirectoryString** 

# Page 15 - 17

## Clause 6.1.2 - 6.1.6

In the first paragraph, replace the text "attribute value of type **DirectoryString**" with:

attribute value whose type is one of the ones listed in 6.1.1

Page \_\_\_

## Clause 6.2

Replace the first four paragraphs (up to the words 'single space character') with the following:

In the matching rules specified in 6.1.1 through 6.1.11, the following spaces are regarded as not significant:

• leading spaces (i.e. those preceding the first character that is not a space);

• trailing spaces (i.e. those following the last character that is not a space);

• multiple consecutive spaces (these are taken as equivalent to a single space character).

A string consisting entirely of spaces is equivalent to a string containing exactly one space.

Date: 1995-\_\_-

Recommendation X.509 (1993) | ISO/IEC 9594-8:1995:

# Information processing systems - Open Systems Interconnection - The Directory - Authentication Framework

**TECHNICAL CORRIGENDUM 1** 

(covering resolutions to defect report 128)

# Clause 2.1

Add a new reference, as follows:

CCITT Rec. X.660 (1992) | ISO/IEC 9834-1:1993, Information Technology -Open Systems Interconnection - Procedures for the Operation of OSI Registration Authorities: General Procedures.

#### Clause 8

In the ASN.1 specification for Certificate, make the following changes:

- In the comment for issuerUniqueID, replace "must be v2" with "must be v2 or v3"
- In the comment for subjectUniqueID, replace "must be v2" with "must be v2 or v3"
- Add the following as a new element to the end of the sequence:

extensions [3] Extensions OPTIONAL -- If present, version must be v3 -- }

In the Version production, add a new value "v3(2)"

Add the following, immediately below the ASN.1 specification for SubjectPublicKeyInfo:

#### Extensions ::= SEQUENCE OF Extension

For those extensions where ordering of individual extensions within the SEQUENCE is significant, the specification of those individual extensions shall include the rules for the significance of the ordering.

#### Extension ::= SEQUENCE {

extnld critical extnValue

EXTENSION.&id ({ExtensionSet}), BOOLEAN DEFAULT FALSE, OCTET STRING -- contains a DER encoding of a value of type &ExtnType -- for the extension object identified by extnId -- }

-- Definition of the following information object set is deferred, perhaps to -- standardized profiles or to protocol implementation conformance statements.

#### ExtensionSet EXTENSION ::= { ... }

The extensions field allows addition of new fields to the structure without modification to the ASN.1 definition. An extension field consists of an extension identifier, a criticality flag, and a canonical encoding of a data value of an ASN.1 type associated with the identified extension. When an implementation processing a certificate does not recognize an extension, if the criticality flag is FALSE, it may ignore that extension. If the

criticality flag is TRUE, unrecognized extensions shall cause the structure to be considered invalid, i.e., in a certificate, an unrecognized critical extension would cause validation of a signature using that certificate to fail.

The following object class is used to define specific extensions.

Specificextensions may be defined in ITU-T Recommendations | International Standards or by any organizations which has a need. The object indentifier which identifies an extension shall be defined in accordanc with ITU-T Rec. X.660 | ISO/IEC 9834-1.

```
EXTENSION ::= CLASS
{
    &id OBJECT IDENTIFIER UNIQUE,
    &ExtnType
}
WITH SYNTAX
{
    SYNTAX &ExtnType
    IDENTIFIED BY &id
}
```

#### Clause 11.2

In the ASN.1 CertificateList production, add a new version element as the first element of the SEQUENCE (ahead of the signature element) as follows:

version Version OPTIONAL, -- if present, version must be v2--

In the ASN.1 CertificateList production, add crIExtensions as a final element of the CertificateList SEQUENCE and add crIEntryExtensions as the final element of the revokedCertificates SEQUENCE OF SEQUENCE, by replacing the last line of the production

revocationDate UTCTime } OPTIONAL }}

with the following:

revocationDate UTCTime, crlEntryExtensions Extensions OPTIONAL } OPTIONAL, crlExtensions 0PTIONAL }}

Add a new note (note 3) to the list of notes immediately following the ASN.1 CertificateList production as follows:

3 If any extensions included in a **CertificateList** are defined as critical, the version element of the **CertificateList** shall be present. If no extensions defined as critical are included, the version element shall be absent.
4 When an implementation processing a certificate revocation list does not recognize a critical extension in the **crlEntryExtensions** field, it shall assume that, at a minimum, the identified certificate has been revoked and is no longer valid and perform additional actions concerning that revoked certificate as dictated by local policy. When an implementation does not recognize a critical extension in the **crlExtensions** field, it shall assume that identified certificates have been revoked and are no longer valid. However in the latter case, since the list may not be complete, certificates that have not been identified as being revoked cannot be assumed to be valid. In this case local policy shall dictate the action to be taken. In any case local policy may dictate actions in addition to and/or stronger than those stated in this specification.

5 If an extension affects the treatment of the list (e.g. multiple CRLs must be scanned to examine the entire list of revoked certificates, or an entry may represent a range of certificates), then that extension shall be indicated as critical in the **crlExtensions** field regardless of where the extension is placed in the CRL. An extension indicated in the **crlEntryExtensions** field of an entry shall be placed in that entry and shall affect only the certificate(s) specified in that entry.

#### Annex A

In the ASN.1 specification for Certificate, make the following changes:

- In the comment for issuerUniqueID, replace "must be v2" with "must be v2 or v3"
- In the comment for subjectUniqueID, replace "must be v2" with "must be v2 or v3"
- Add the following as a new element to the end of the sequence:

extensions [3] Extensions OPTIONAL - If present, version must be v3 -- } }

In the Version production, add a new value "v3(2)"

Add the following, immediately below the ASN.1 specification for **SubjectPublicKeyInfo**:

#### Extensions ::= SEQUENCE OF Extension

For those extensions where ordering of individual extensions within the SEQUENCE is significant, the specification of those individual extensions shall include the rules for the significance of the ordering.

Extension ::= SEC	QUENCE {
extnld critical	EXTENSION.&id ({ExtensionSet}), BOOLEAN DEFAULT FALSE.
extnValue	OCTET STRING
	contains a DER encoding of a value of type &ExtnType for the extension object identified by extnId }

-- Definition of the following information object set is deferred, perhaps to

```
-- standardized profiles or to protocol implementation conformance
statements.
-- The set is required to specify a table constraint on the critical
component of
                Extension.
ExtensionSet
                 EXTENSION
                              ::=
                                     { ... }
EXTENSION ::= CLASS
{
   &id
                 OBJECT IDENTIFIER UNIQUE,
   &ExtnType
}
WITH SYNTAX
{
   SYNTAX
                 &ExtnType
   IDENTIFIED BY &id
}
```

In the ASN.1 CertificateList production, add a new version element as the first element of the SEQUENCE (ahead of the signature element) as follows:

version Version OPTIONAL, -- if present, version must be v2--

In the ASN.1 CertificateList production, add crIExtensions as a final element of the CertificateList SEQUENCE and add crIEntryExtensions as the final element of the revokedCertificates SEQUENCE OF SEQUENCE, by replacing the last line of the production revocationDate UTCTime } OPTIONAL }} with the following:

revocationDate UTCTime, crlEntryExtensions Extensions OPTIONAL } OPTIONAL, crlExtensions 0PTIONAL }}

Date: 1995-\_\_-

Recommendation X.509 (1993) | ISO/IEC 9594-8:1995:

# Information processing systems - Open Systems Interconnection - The Directory - Authentication Framework

**TECHNICAL CORRIGENDUM 2** 

(covering resolutions to defect reports 077, 078, 083, 084)

Page 1

## Clause 1

In paragraph 5, replace the sentence "The user certificates ..." with the following text:

The user certificates are assumed to be formed by 'off-line' means, and may subsequently be placed in the Directory.

Page 8

# Clause 7

In the first paragraph, replace the word "secret" with "private".

Page 17

### Clause 11.1

In the second paragraph, replace the word "secret" with "private".

#### Clause 11.2

In the list a) - c), replace the text in b) with the following text:

b) If the means of generation of key pairs of 11.1(b) or of 11.1(c) is employed, the user's private key must be transferred to the user in a secure manner.

#### Page 28

#### Annex D.4

Add the following text to the end of the second sentence in the second last paragraph of D.4:

where the first bit is the highest order bit of the first octet of the data block.

## Draft Technical Corrigendum 3 to Recommendation X.509 (1993) | ISO/IEC 9594-8:1993

This corrects the defects reported in defect reports 9594/080 and 9594/092.

Page \_\_\_

#### Clause 9

Remove the clause beginning "In the case where only the signature is required" and the existing definition of SIGNATURE, and replace these by the following clause placed after Note 4:

The signature of some data item is formed by encrypting a shortened or "hashed" transformation of the item, and may be described by the following ASN.1:

ENCRYPTED-HASH { ToBeSigned } ::= BIT STRING ( CONSTRAINED BY { -- must be the result of applying a hashing procedure to the BER-encoded octets ---- of a value of -- ToBeSigned -- and then applying an encipherment procedure to those octets -- }) SIGNATURE { ToBeSigned } ::= SEQUENCE { algorithmIdentifier AlgorithmIdentifier, encrypted ENCRYPTED-HASH {

#### Page \_\_\_

#### Annex A

Replace the definitions of the parameterized type **HASHED** with the definition of **ENCRYPTED-HASH** (from Clause 9)

Change the definition of **SIGNATURE** to that given in the amended Clause 9 and move it to immediately above the definition of **SIGNED**.

This corrects the defect reported in defect reports 9594/100.

Page \_\_\_

## Clause 9

<< Text will be supplied that deprecates the current approach of using a special variation of BER encoding when generating a digital signature, and instead states that the DSA preserves the incoming byte stream or order of information in storage when signing chained or locally held data. Migration and other issues are currently being liaised with other groups. >>

Date: 1995-\_\_-

# Recommendation X.525 (1993) | ISO/IEC 9594-9:1995:

# Information processing systems - Open Systems Interconnection - The Directory - Replication

**TECHNICAL CORRIGENDUM 1** 

(covering resolutions to defect reports 097, 099, 123)

Page 13

## Clause 8.2.2.1

Remove the second last paragraph ("If the **ModificationParameter** parameter is present, it is ignored.").

Page 14

Clause 8.3 and Annex A

Replace the ASN.1 specification of **shadowOperationalBinding** with:

shadowOperationalBinding OPERATIONAL-BINDING ::= {
AGREEMENT ShadowingAgreementInfo
APPLICATION CONTEXTS {
{ shadowSupplierInitiatedAC
APPLIES TO { All-operations-supplier-initiated } }
$\int chadowConsumerInitiatedAC$
( Shadoweonsumerninatedae
APPLIES TO { An-operations-consumer-initiated } } 1
{ reliableShadowSupplierInitiatedAC
APPLIES TO { All-operations-supplier-initiated } }
{ reliableShadowConsumerInitiatedAC
APPLIES TO { All-operations-consumer-initiated } } }
ASYMMETRIC
ROLE-A { shadow supplier role
ESTABLISHMENT-INITIATORTRUE
ESTABLISHMENT-PARAMETER NULL
ESTABLISHMENT-INITIATORTRUE
ESTABLISHMENT-PARAMETER NULL
MODIFICATION-INITIATOR TRUE
MODIFICATION-PARAMETER ModificationParameter
TERMINATION-INITIATOR TRUE }
ID id-op-binding-shadow }

Page 28

#### Clause 12

Replace the last line of the ASN.1 definition of ShadowingProblem with the following:

invalidSequencing (10), insufficientResources (11))

# Page 29

#### Clause 12.1

Add the following item to the list:

k) insufficientResources: Indicates that the executing DSA has insufficient resources to carry out the operation.

## Draft Technical Corrigendum 2 to Recommendation X.525 (1993) I ISO/IEC 9594-9:1993

This corrects the defect reported in defect report 9594/132.

Page \_\_\_

#### Clauses 11.1.1 and 11.2.1

Replace the definition of **lastUpdate** in both clauses with the following:

The **lastUpdate** argument is the time provided by the shadow supplier in the most recent successful update. It shall be absent if there has been no previous successful update for the shadowing agreement, or if the shadow consumer requires a full update even if there have been no changes to the shadowed information, e.g. to recover from errors.

Page \_\_\_

#### Clause 11.3.1

Append the following to the paragraph that defines **noRefresh**:

It shall not be used where the **updateShadow** operation is in response to a **coordinateShadowUpdate** or **refreshShadowUpdate** operation in which the **lastUpdate** argument has been omitted.

# Appendix C

# Summary of Defect Reports

# Defects Prior to Publication of the 1993 Edition

No.	Title	Part /Clause	WG4 Ref	Contributor	Resolution
001	ModifyRDN errors	3/12.9.2e	N1077	UK	3-Cor.1
002	Name resolution args	4/18.6.1	N1077	UK	4-Cor.2
003	Signing NULL results and errors		N1077	UK	Rejected
004	Signed continuation refs	4/18.8	N1077	UK	4-Cor.1
005	AE Common Name	7/Annex B 11	N1077	UK	7-Cor.1
006	Who provides object class attribute	2/9.4.3	N1077	UK	2-Cor.1
007	Signature algorithm identifier 1	3/8.1.2.1.2	N1077	UK	3-Cor.1
008	Chained modify - abandoned error		N1077	UK	Rejected
009	Signature algorithm identifier 2	8/7.2	N1077	UK	8-Cor.1
010	Subset argument with search	4/12.3.2/7, 4/18.7.2.2. 1	N1077	UK	4-Cor.1
011	Order of Trace items	4/12.6.2	N1077	UK	4-Cor.1
012	DSABind and DSAUnbind	3/Annex A, 4/13.2.1, 4/Annex A	N1077	UK	3-Cor.1 and 4-Cor.1
013	Trace info and new subrequests	4/18.4.2.3	N1077	UK	4-Cor.1
014	Evaluation of filter expressions	3/7.8.2, 3/7.8.3.2	N1077	UK	3-Cor.1
015	Insecure hash function	8/Annex D 2	N1077	CCITT	8-Cor.1
016	3-way auth. protocol	8/9.4 8)	N1077	CCITT	8-Cor.1
017	Form of auth. token		N1077	CCITT	Rejected

018	Digital signatures		N1077	CCITT	Rejected
019	Conditions on use of RSA	8/Annex C 6.2	N1077	CCITT	8-Cor.1
020	Attribute sets	3/7.6.2.1	N1077	Canada	3-Cor.1
021	Object class macro	2/9.4.6	N1077	Canada	2-Cor.1
022	Return cross refs	4/10.4.1, 4/10.4.2.3	N1077	Australia	4-Cor.1
023	Value of alias dereferenced	4/18.4.6 1)	N1077	Australia	4-Cor.1
024	Undetected looping	4/18.5	N1077	Australia	4-Cor.3
025	Subset argument with search	4/12.3.2/7, 4/18.7.2.2. 1	N1077	Australia	4-Cor.1
026	Alias dereferencing in search	4/12.3.2/7, 4/18.7.2.2. 1	N1077	Australia	4-Cor.1
027	Resetting target object	4/18.7.2.2. 1 5)	N1077	Australia	4-Cor.1
028	Certification path		N1077	Canada	Rejected
029	Modify-update error	4/17.2	N1077	UK	4-Cor.1
030	Bind credentials		N1077	UK	Withdrawn
031	Certificates	8/7.6	N1077	Canada	8-Cor.1
032	Password in Bind	3/8.1.1	N1078	UK	3-Cor.1
033	Revocation lists		N1232	France	Rejected
034	Naming context definition	4/3.5.12, 4/9	N1232	US	4-Cor.2
035	Name in certificates		N1232	US	Withdrawn
036	AVA definitions	2/7.1.4, 2/7.4.3	N1232	Canada	2-Cor.2
037	Multi user UDA	2/5.2.4	N1232	Japan	2-Cor.2
038	Security parameters	3/7.3.1, 3/Annex A	N1232	Japan	3-Cor.2
039	Use of cross references		N1232	Denmark	Rejected
040	Root context clarification		N1232	Denmark	Rejected
041	Error precedence	3/12.1.2	N1232	Denmark	3-Cor.4

042	Abandon failed selection	3/9.3.4, 3/9.3.6	N1232	Denmark	3-Cor.2
043	Returning multiple referrals		N1232	Denmark	Rejected
044	Reference type for list and search		N1232	Denmark	Rejected
045	Number of DSAs ref'd by NSSR		N1232	Denmark	Rejected
046	Search loops		N1232	Denmark	Rejected
047	Facsimile telephone number		N1232	UK	Rejected
048	Chained abandon	4/14.3.1	N1232	UK	4-Cor.2
049	Reaction to errors		N1232	Denmark	Rejected
050	Mode of operation evaluation	4/3.5.17, 4/18.7.2	N1232	Denmark	4-Cor.2
051	Alias dereferencing		N1232	Denmark	Rejected
052	Migration and extensibility	3/7.3.1, 3/7.3.2.6, 3/Annex A, 5/7.5	N1232	ISO Rapp.	3-Cor.3 and 5-Cor.1
053	Migration and extensibility (schema)		N1232	ISO Rapp.	Rejected
054	Substring matching	3/7.8.3.4.b	N1256	UK	3-Cor.4
055	Schema (fig B.1)	7/ Annex B	N1257	UK	7-Cor.2
056	Certificate revoation lists		N1308	US	Rejected
057	Certificate revoation lists		N1309	US	Rejected
058	Simple credentials		N1310	US	Rejected
059	Chained modify attribute error	4/Annex A	N1311	Defect Group	4-Cor.2
060	Ordering in multi-valued attributes	3/7.8.3.4.c	N1312	Defect Group	3-Cor.4
061	Filters & less/greater than		N1313	Defect Group	Rejected
062	Exporting access point	4/Annex A	N1351	US	4-Cor.3
063	Strong credentials	3/8.1.2.1.2	N1396	Proj Editor	3-Cor.4

064	Simple protected authentication		N1397	Proj Editor	Rejected
065	Entry only and continuation refs	4/12.9.2.7	N1398	UK	4-Cor.3
066	DAP referral and DSP referral diffs	4/12.9.2.5	N1399	UK	4-Cor.3
067	Receipt of non-requested attributes	3/7.7.4	N1401	CCITT Rapp	3-Cor.5
068	Evaluating substrings	3/7.8.3.4.b	N1402	Denmark	3-Cor.4
069	Exporting credentials	3/Annex A	N1413	Proj Editor	3-Cor.4
070	IMPORT errors	3/Annex A	N1646	Japan	4-Cor.4
071	Use of security params	3/7.9.1	N1647	UK	4-Cor.4
072	Use of security params 2	3/7.9.2.2	N1648	UK	3-Cor.6, 4-Cor.4
073	Use of credentials		N1649	UK	Rejected
074	DUA protocol transparency	5/7.5	N1651	Australia	5-Cor.2

# **Defects Since Publication of the 1993 Edition**

All references to clauses and technical corrigenda are to the 1993 edition except where marked with a superscript  $^{88}$ , in which case the reference is to the 1988 edition.

075	Security levels	5 <sup>88</sup> /9.2.1.d, 5/9.2.1.d	N1651	Japan	5 <sup>88</sup> -Cor.2 5-Cor.1
076	String attributes and spaces	6 <sup>88</sup> /6.2, 6/6.2	N1664	UK	6 <sup>88</sup> -Cor.1 6-Cor.1
077	Bit ordering and DER	8/Annex D.4	N1874	UK	8-Cor.2
078	Use of term 'private key'	8/various	N1875	UK	8-Cor.2
079	Hash functions		N1876	UK	Rejected
080	Meaning of HASHED	8/Annex A.9	N1877	UK	8-Cor.3 draft
081	Typing error		N1878	UK	Rejected
082	Padding conventions		N1879	UK	Rejected
083	Transfer of key data	8/11.2.b	N1880	UK	8-Cor.2
084	Placement of certificates in the Directory	8/Scope	N1881	UK	8-Cor.2
085	Common arguments in List	3 <sup>88</sup> /10.1.2, 3/10.1.2	N1882	UK	3 <sup>88</sup> -Cor.6 3-Cor.1
086	Access control and aliases		N1883	UK	Rejected
087	Names for remove entry		N1884	UK	Rejected
088	Absence of superior structure rule	2/12.6.5 and 2/12.6.6	N1885	UK	2-Cor.1
089	Creating administrative points	2/12.6.5 and 2/12.6.6	N1886	UK	2-Cor.1
090	New agreement parameter	2/24.3	N1887	UK	2-Cor.1
091	invalidID problem definition	2/24.5	N1888	UK	2-Cor.1
092	Encoding of signatures	8/Clause 9 & 8/Annex A	N1889	UK	8-Cor.3 draft

093	Typing error		N1890	UK	Rejected
094	contextPrefixInfo	4/24.1.4.1. 1	N1891	UK	4-Cor.1
095	Typing error		N1892	UK	Rejected
096	Typing error		N1893	UK	Rejected
097	Modification parameter for replication protocol	9/8.2.2.1 and 9/8.2.2.2	N1984	UK	9-Cor.1
098	Inactive agreements		N1895	UK	Rejected
099	Insufficient resources	9/Clause 12	N1896	UK	9-Cor.1
100	Canonical encodings	8/8.7	N1999	UK	8-Cor.3 draft
101	Omission of userPassword		N2001	UK	Rejected
102	Problems with structure rule	2/12.6.6	N2002	Australia	2-Cor.1
103	ModifyDN with subordinates present		N2003	Australia	Rejected
104	Aliased entry name	2/all	N2004	Australia	3-Cor.2 draft
105	ModifyDN description errors		N2005	Australia	Rejected
106	Already searched	410.4	N2006	Australia	4-Cor.1
107	ASN.1 error		N2007		Rejected
108	Common argument ignored	4/17.3.3.1	N2008	Australia	4-Cor.1
109	Find DSA procedure errors	4/18.3.1	N2009	Australia	4-Cor.1
110	Target not found sub- procedure errors		N2010	Australia	Rejected
111	Check suitability procedure errors	4/18.3.4.1	N2011	Australia	4-Cor.1
112	ModifyDN procedure errors	4/19.1.4	N2012	Australia	4-Cor.1
113	List procedure (I) errors	4/19.3.1.2. 1	N2013	Australia	4-Cor.1

114	Search procedure (I) errors	4/19.3.2.2. 1	N2014	Australia	4-Cor.1
115	Search procedure (II) errors	4/19.3.2.2. 2	N2015	Australia	4-Cor.1
116	Checking trace information	4/19.3.2.2. 3	N2016	Australia	4-Cor.2 draft
117	Repetitive chaining	4/Clause 20	N2017	Australia	4-Cor.2 draft
118	Avoiding duplicate results	4/20.1.1	N2018	Australia	4-Cor.2 draft
119	Looping involving referrals	4/15.4.2, 4/16.1.2, 4/20.4.5	N2019	Australia	3-Cor.2 draft 4-Cor.2 draft
120	Duplicate removal in results merging	4/Clause 2 1	N2020	Australia	4-Cor.2 draft
121	General improvements to	4/18.3.3(3)	N2021	Australia	4-Cor.2
		, 4/19.3.2.2. 1			uran
122	Matching rules for directory strings	6/6.1	N2022	Australia	6-Cor.1
123	Shadow operational binding	9/8.3	N2023	ITU Rapp.	9-Cor.1
124	ASN.1 tags for shadow operational binding	5/Annex D	N2024	ITU Rapp.	5-Cor.1
125	Matching rule description	2/14.7.3	N2025	ITU Rapp.	2-Cor.1
126	Attribute syntax publication		N2026	ITU Rapp.	Rejected
127	BMPString	6/Clause 5	N2027	ITU Rapp.	6-Cor.1
128	Certificate extensibility	8/Clause 8	N2028	ISO Rapp.	8-Cor.1
129	Changes to Modify Op Binding			UK	Rejected
130	Clarification re Access Points	4/24.1.4.1. 1, 4/24/1/4/ 2, 2/23		UK	4-Cor.2

131	Incremental refreshes	9/11.3.1.2	UK	Open
132	Consumer initiated updates	9/11/3/1	UK	9-Cor.2 draft
133	Critical extension bits	3/7.3.1	UK	3-Cor.2 draft
134	Version and Op Binding ID	2/24.2, 2/24.4		2-Cor.2 draft
135	UTC time matching	6/6.3.2	ITU Rapp.	Open
136	Min. no. of att values	2/8.2	UK	2-Cor.2 draft
137	Access control flowcharts	3/Fig B-11	UK	Open
138	Access control flowcharts	3/Fig B-6	UK	Open
139	Application contexts for shadowing	5/7.2.3, 5/8.1.1.1.2, 5/9.3.1, 5/9.4.1	UK	Open
140	Hierarchical operational bindings	9/24	UK	Open
141	Prefix v policy information	9/9.2	UK	Open
142	Area specification	9/9.2	UK	Open
143	Absence of application component	2/14.7.4	Defect Group	Open
144	Extension of subschema modification procedure	2/14.5	Germany	Open
145	subtreeSpecification in subschema subentry	2/14.3	Germany	Open
146	Wrong upper bound for surname attribute	6/5.2.3, 6/AnnexA, 6/AnnexC	Germany	Open
147	Type reference and attribute syntax	2/14.7.4 note	Germany	Open
148	Inconsistencies in Search and List	3/10.1.2, 3/10.1.5, 3/10.2.3, 3/10.2.5	Germany	Open
149	Matching rule distinguishedNameMatch	2/12.5.2	Germany	Open

150	New update error: noSuchNewSuperior	3/11.4, 3/12.9	Germany	Open
151	modifyDN on base of replicated area	3/11.4.1	Germany	Open
152	Wrong references	4/various	Germany	Open
153	Error in figure: Operation dispatcher	4/Fig. 6	Germany	Open
154	Arguments for Find DSE procedure	4/18.2.1, 4/18.3.4.1	Germany	Open
155	Find DSE procedure	4/18.3.1	Germany	Open
156	Figure in Add Entry procedure	4/19.1.1	Germany	Open
157	ModifyDN and UnitOfReplication	4/19.1.4	Germany	Open
158	Errors in search procedure	4/19.3.2.1. 3	Germany	Open
159	targetObject in Search (I) procedure	4/19.3.2.2. 1	Germany	Open
160	Collective attributes in Search (I) procedure	4/19.3.2.2. 1	Germany	Open
161	Search continuation reference procedure	4/20.4.4	Germany	Open
162	APInfo procedure	4/20.4.5	Germany	Open
163	Shadowed information procedure	9/7.2, 9.Fig.3	Germany	Open
164	ASN.1 of SupplierUpdateMode	9/9.3	Germany	Open
165	Time limit in chaining arguments for modify or nssr	4/19.1.5	Germany	Open
166	Alias control by alias dereferencing	3/7.11.1	Germany	Open
167	Aliased RDNs in chaining args and cont. refs	4/10.3, 4/10.10, 4/18.3.1	Germany	Open
168	Protected password	8/Clause 6	EWOS	Open

169	Permutable property for PKCS	8/Clause 7, 8/10.2, 8/10.3	UK	Open
170	Entry selection in search procedure	3/Fig. B-11	UK	Open
171	Problems with Embedded PDV	2/12.4.6	ITU Rapp.	Open
172	Subschema for the root entry and other problems	2/13.1	ITU Rapp.	Open
173	NSSRs in the root entry	2/18.5	ITU Rapp.	Open
174	Service Errors and Operational Bindings	2/24.2- 24.4	ITU Rapp.	Open
175	Approximate match should imply equality	3/7.8.2f	ITU Rapp.	Open
176	Access controls on aliases	3/7.11.1	ITU Rapp.	Open

# Appendix D

# **Defect Report Form**

Please also send a soft copy of the defect in Microsoft Word format to the Defect Editor (r.exner@trl.oz.au).

# DEFECT REPORT FORM

1. Defect Report Number:

<u>Title</u>:

- 2. <u>Source</u>:
- 3. <u>Addressed to</u>: ISO/IEC JTC1/SC21/WG8 and ITU-T SG 7 Editor Group on the Directory
- 4. (a) WG Secretariat: ANSI (b) ITU-T WP: WP 4
- 5. Date Circulated by WG Secretariat:
- 6. Deadline for Response from Editor:
- 7. <u>Defect Report Concerning</u>: (number and title of IS or DIS final text/CCITT Recommendation)
- 8. Qualifier: (e.g.: error, omission, clarification required)

9. <u>References in Document</u>: (e.g.: page, clause/section, figure, and/or table numbers)

10. <u>Nature of Defect</u>: (complete, concise explanation of the perceived problem)

11. Solution Proposed by the Source: (optional)

# 12. Editor's Response:

(any material proposed for processing as an erratum to, an amendment to, or a commentary

on the IS or DIS final text/CCITT Recommendation or Draft Recommendation is attached separately to this completed report).

# Appendix E

# **Defect Resolution Committee Members**

The following representatives have been nominated to the Collaborative Defect Resolution Committee.

International Defect Re Australia	eport Editor	(acting) and
Rolf Exner Telecom Australia 770 Blackburn Road Clayton Victoria 31 Australia	d 168	Tel: +61 3 9253 6718 Fax: +61 3 9253 6352 Email: r.exner@trl.oz.au
Denmark		
Erik Andersen IBM Denmark Public Sector erik_andersen@vnet Nymollevej 85 DK-2800 LYNGBY Denmark	t.ibm.com	Tel: +45 4593 4545 Fax: +45 4593 2493 Email:
France		
Anh Hoang-Van France Telecom 38-40, rue du Gener anh.hoang_van@issy 92131 Issy Les Mo France (to be confirmed)	ral Leclerc y.cnet.fr pulineaux )	Tel: +33 1 45 29 4597 Fax: +33 1 45 29 6531 Email:
Germany Patrick Fantou Siemens Nixdorf Inf BU BA NM12 Otto-Hahn-Ring 11 D-81730 Munich Germany	f. Systeme	Tel: +49 89 636 41203 Fax: +49 89 636 45860 Email: patrick.fantou@mch.sni.de
Japan Shoichi Senda		Tel: +81 468-59 8515
NTT 1-2356 Take Yokos	suka-Shi	Fax: +81 468 59 3784 Email: senda@nttcoim.ntt.jp

Kamagawa 238-03, Japan (to be confirmed)

## Norway

Gunn Skogseth Norwegian Computing Center P.O. Box 114 Blindern skogseth%nr.uninett@tor.nta.no N-0314 Oslo 3 Norway (to be confirmed)

Tel: +47 2 45 35 00 Fax: +47 2 69 76 60 Email:

## Sweden

Magnus AndersonTel: +46 8 707 5561Telia ResearchFax: +46 8 707 5480SystemforskningEmail: magnus@telerand.p.tvt.se13680 HaningeSweden(to be confirmed)Imagnus@telerand.p.tvt.se

# United Kingdom

Nick EmeryTDigital Equipment Co. Ltd.FP.O. Box 121Eemery@emery.enet.dec.comEWorton Grange, Imperial WayReading, RG2 0TUUnited KingdomE

United States of America

John (Skip) Slone Martin Marietta ISG P.O. Box 590389, MP 104 Orlando, FL 32859 U.S.A. Tel: +44 734 203 563 Fax: +44 734 313 574 Email:

Tel: +1 407 826 7102 Fax: +1 407 826 7661 Email: jpslone@tag.den.mmc.com