

Package ‘DropletUtils’

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Description Provides a number of utility functions for handling single-cell (RNA-seq) data from droplet technologies such as 10X Genomics. This includes data loading from count matrices or molecule information files, identification of cells from empty droplets, removal of barcode-swapped pseudo-cells, and downsampling of the count matrix.

License GPL-3

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barcodeRanks	<i>Calculate barcode ranks</i>
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Description

Compute barcode rank statistics and identify the knee and inflection points on the total count curve.

Usage

```
barcodeRanks(m, lower=100, fit.bounds=NULL, df=20, ...)
```

Arguments

<code>m</code>	A real sparse matrix object, either a <code>dgTMatrix</code> or <code>dgCMatrix</code> . Columns represent barcoded droplets, rows represent genes.
<code>lower</code>	A numeric scalar specifying the lower bound on the total UMI count, at or below which all barcodes are assumed to correspond to empty droplets.
<code>fit.bounds</code>	A numeric vector of length 2, specifying the lower and upper bounds on the total UMI count for spline fitting.
<code>df, ...</code>	Further arguments to pass to smooth.spline .

Details

Analyses of droplet-based scRNA-seq data often show a plot of the log-total count against the log-rank of each barcode, where the highest ranks have the largest totals. This is equivalent to a transposed empirical cumulative density plot with log-transformed axes, which focuses on the barcodes with the largest counts. The `barcodeRanks` function will compute these ranks for all barcodes. Barcodes with the same total count receive the same average rank to avoid problems with discrete runs of the same total.

The function will also identify a number of interesting points on the curve for downstream use, namely the inflection and knee points. Both of these points correspond to a sharp transition between two components of the total count distribution, presumably reflecting the difference between empty droplets with little RNA and cell-containing droplets with much more RNA.

- The inflection point is computed as the point on the rank/total curve where the first derivative is minimized. The derivative is computed directly from all points on the curve with total counts greater than `lower`. This avoids issues with erratic behaviour of the curve at lower totals.
- The knee point is defined as the point on the curve where the signed curvature is minimized. This requires calculation of the second derivative, which is much more sensitive to noise in the curve. To overcome this, a smooth spline is fitted to the log-total counts against the log-rank using the `smooth.spline` function. Derivatives are then calculated from the fitted spline using `predict`.

We supply a default setting of `df` to avoid overfitting the spline, as this results in instability in the higher derivatives (and thus the curvature). `df` and other arguments to `smooth.spline` can be tuned if the estimated knee point is not at an appropriate location. We also restrict the fit to lie within the bounds defined by `fit.bounds` to focus on the region containing the knee point. This allows us to obtain an accurate fit with low `df`, rather than attempting to model the entire curve.

If `fit.bounds` is not specified, the lower bound is automatically set to the inflection point, which should lie after the knee point. The upper bound is set to the point at which the first derivative is closest to zero, i.e., the “plateau” region before the knee point. Note that only points with total counts above `lower` will be considered, regardless of how `fit.bounds` is defined.

Value

A `DataFrame` where each row corresponds to a column of `m`, and containing the following fields:

`rank`: Numeric, the rank of each barcode (averaged across ties).

`total`: Numeric, the total counts for each barcode.

`fitted`: Numeric, the fitted value from the spline for each barcode. This is NA for points with `x` outside of `fit.bounds`.

The metadata contains `knee`, a numeric scalar containing the total count at the knee point; and `inflection`, a numeric scalar containing the total count at the inflection point.

Author(s)

Aaron Lun

See Also

[emptyDrops](#)

Examples

```
# Mocking up some data:
set.seed(2000)
my.counts <- DropletUtils::simCounts()

# Computing barcode rank statistics:
br.out <- barcodeRanks(my.counts)
names(br.out)
```

```
# Making a plot.
plot(br.out$rank, br.out$total, log="xy", xlab="Rank", ylab="Total")
o <- order(br.out$rank)
lines(br.out$rank[o], br.out$fitted[o], col="red")
abline(h=metadata(br.out)$knee, col="dodgerblue", lty=2)
abline(h=metadata(br.out)$inflection, col="forestgreen", lty=2)
legend("bottomleft", lty=2, col=c("dodgerblue", "forestgreen"),
      legend=c("knee", "inflection"))
```

chimericDrops

Remove chimeric molecules

Description

Remove chimeric molecules within each cell barcode's library in a droplet experiment.

Usage

```
chimericDrops(sample, barcode.length = NULL, ...)
```

```
removeChimericDrops(
  cells,
  umis,
  genes,
  nreads,
  ref.genes,
  min.frac = 0.8,
  get.chimeric = FALSE,
  get.diagnostics = FALSE
)
```

Arguments

sample	String containing paths to the molecule information HDF5 files, produced by CellRanger for 10X Genomics data.
barcode.length	An integer scalar specifying the length of the cell barcode, see read10xMolInfo .
...	Further arguments to be passed to <code>removeChimericDrops</code> .
cells	Character vector containing cell barcodes, where each entry corresponds to one molecule.
umis	Integer vector containing encoded UMI sequences, see ?encodeSequences for details.
genes	Integer vector specifying the gene indices. Each index should refer to an element of <code>ref.genes</code> .
nreads	Integer vector containing the number of reads per molecule.
ref.genes	A character vector containing the names or symbols of all genes.
min.frac	A numeric scalar specifying the minimum fraction of reads required for a chimeric molecule to be retained.
get.chimeric	A logical scalar indicating whether the UMI counts corresponding to chimeric molecules should be returned.
get.diagnostics	A logical scalar indicating whether to return statistics for each molecule grouping.

Details

Chimeric molecules are occasionally generated during library preparation for highly multiplexed droplet experiments. Here, incomplete PCR products from one molecule hybridise to another molecule for extension using shared sequences like the poly-A tail for 3' protocols. This produces an amplicon where the UMI and cell barcode originate from one transcript molecule but the gene sequence is from another. If the second template is from another cell, this effect results in contamination of one cell's profile by another, similar to the contamination between samples discussed in [?swappedDrops](#).

Chimerism manifests as molecules that have the same UMI sequence and cell barcode but are assigned to different genes. To remove them, this function will simply discard all molecules within the same cell that share UMI sequences. Of course, this may also remove non-chimeric molecules that have the same UMI by chance, but for typical UMI lengths (10-12 bp for 10X protocols) we expect UMI collisions to be very rare between molecules from the same cell.

Nonetheless, to mitigate losses due to collisions, we retain any molecule that has a much greater number of reads compared to all other molecules with the same UMI in the same cell. This is based on the expectation that the original non-chimeric molecule will have undergone more rounds of PCR amplification compared to its chimeric offspring, and thus will have higher read coverage. For all molecules with the same UMI within a given cell, we compute the proportion of reads assigned to each molecule and we keep the molecule with a proportion above `min.frac`. If no molecule passes this threshold, the entire set is discarded.

Value

A list is returned with the `cleaned` entry, a sparse matrix containing the UMI count for each gene (row) and cell barcode (column) after removing chimeric molecules. All cell barcodes that were originally observed are reported as columns, though note that it is theoretically possible for some barcodes to contain no counts.

If `get.chimeric=TRUE`, a `chimeric` entry is returned in the list. This is a sparse matrix of UMI counts corresponding to the chimeric molecules. Adding the `cleaned` and `chimeric` matrices should yield the total UMI count prior to removal of swapped molecules.

If `get.diagnostics=TRUE`, the top-level list will also contain an additional `diagnostics` [DataFrame](#). Each row corresponds to a group of molecules in the same cell with the same UMI. The `DataFrame` holds the number of molecules in the group, the sum of reads across all molecules in the group, and the proportion of reads assigned to the most sequenced molecule.

Author(s)

Aaron Lun

References

Dixit A. (2016). Correcting chimeric crosstalk in single cell RNA-seq experiments. *bioRxiv*, <https://doi.org/10.1101/093237>

Examples

```
# Mocking up some 10x HDF5-formatted data.
curfile <- DropletUtils::sim10xMolInfo(tempfile())

out <- chimericDrops(curfile)
dim(out$cleaned)
```

```
out2 <- chimericDrops(curfile, get.diagnostics=TRUE)
out2$diagnostics
```

defaultDrops *Call cells from number of UMIs*

Description

Call cells according to the number of UMIs associated with each barcode, as implemented in CellRanger.

Usage

```
defaultDrops(m, expected=3000, upper.quant=0.99, lower.prop=0.1)
```

Arguments

m	A real sparse matrix object, either a dgTMatrix or dgCMatrix. Columns represent barcoded droplets, rows represent cells. The matrix should correspond to an individual sample.
expected	A numeric scalar specifying the expected number of cells in this sample, as specified in the call to CellRanger.
upper.quant	A numeric scalar between 0 and 1 specifying the quantile of the top expected barcodes to consider for the first step of the algorithm
lower.prop	A numeric scalar between 0 and 1 specifying the fraction of molecules of the upper.quant quantile result that a barcode must exceed to be called as a cell

Details

The defaultDrops function will call cells based on library size similarly to the CellRanger software suite from 10X Genomics. Default arguments correspond to an exact reproduction of CellRanger's algorithm, where the number of expected cells was also left at CellRanger default value.

The method computes the upper.quant quantile of the top expected barcodes, ordered by decreasing number of UMIs. Any barcodes containing more molecules than lower.prop times this quantile is considered to be a cell, and is retained for further analysis.

This method may be vulnerable to calling very well-captured background RNA as cells, or missing real cells with low RNA content. See [?emptyDrops](#) for an alternative approach for cell calling.

Value

defaultDrops will return a logical vector of length ncol(m). Each element of the vector reports whether each column of m was called as a cell.

Author(s)

Jonathan Griffiths

References

10X Genomics (2017). Cell Ranger Algorithms Overview. <https://support.10xgenomics.com/single-cell-gene-expression/software/pipelines/latest/algorithms/overview>

See Also[emptyDrops](#)**Examples**

```
# Mocking up some data:
set.seed(0)
my.counts <- DropletUtils:::simCounts()

# Identify likely cell-containing droplets.
called <- defaultDrops(my.counts)
table(called)

# Get matrix of called cells.
cell.counts <- my.counts[, called]
```

downsampleBatches *Downsample batches to equal coverage*

Description

A convenience function to downsample all batches so that the average per-cell total count is the same across batches. This mimics the downsampling functionality of `cellranger agr`.

Usage

```
downsampleBatches(
  ...,
  batch = NULL,
  method = c("median", "mean", "geomean"),
  bycol = TRUE
)
```

Arguments

...	Two or more count matrices, where each matrix represents data from a separate batch. Each matrix should already have been filtered to only contain cells, e.g., using emptyDrops . Alternatively, a single filtered count matrix containing cells from all batches, in which case <code>batch</code> should be specified.
batch	A factor of length equal to the number of columns in the sole entry of <code>...</code> , specifying the batch of origin for each column of the matrix. Ignored if there are multiple entries in <code>...</code>
method	String indicating how the average total should be computed. The geometric mean is computed with a pseudo-count of 1.
bycol	A logical scalar indicating whether downsampling should be performed on a column-by-column basis, see ?downsampleMatrix for more details.

Details

Downsampling batches with strong differences in sequencing coverage can make it easier to compare them to each other, reducing the burden on the normalization and batch correction steps. This is especially true when the number of cells cannot be easily controlled across batches, resulting in large differences in per-cell coverage even when the total sequencing depth is the same.

Value

If ... contains two or more matrices, a [List](#) of downsampled matrices is returned.

Otherwise, if ... contains only one matrix, the downsampled matrix is returned directly.

Author(s)

Aaron Lun

See Also

[downsampleMatrix](#), which is called by this function under the hood.

Examples

```
# Mocking up some 10X genomics output.
example(write10xCounts, echo=FALSE)
sce10x <- read10xCounts(tmpdir)

# Making another copy with fewer counts:
sce10x2 <- sce10x
counts(sce10x2) <- round(counts(sce10x2)/2)

# Downsampling for multiple batches in a single matrix:
combined <- cbind(sce10x, sce10x2)
batches <- rep(1:2, c(ncol(sce10x), ncol(sce10x2)))
downsampled <- downsampleBatches(counts(combined), batch=batches)
downsampled[1:10,1:10]

# Downsampling for multiple matrices:
downsampled2 <- downsampleBatches(counts(sce10x), counts(sce10x2))
downsampled2
```

downsampleMatrix

Downsample a count matrix

Description

Downsample a count matrix to a desired proportion for each cell.

Usage

```
downsampleMatrix(x, prop, bycol=TRUE)
```


Arguments

x	A numeric matrix of counts.
prop	A numeric scalar or, if bycol=TRUE, a vector of length ncol(x). All values should lie in [0, 1] specifying the downsampling proportion for the matrix or for each cell.
bycol	A logical scalar indicating whether downsampling should be performed on a column-by-column basis.

Details

Given multiple batches of very different sequencing depths, it can be beneficial to downsample the deepest batches to match the coverage of the shallowest batches. This avoids differences in technical noise that can drive clustering by batch.

If bycol=TRUE, sampling without replacement is performed on the count vector for each cell. This yields a new count vector where the total is equal to prop times the original total count. Each count in the returned matrix is guaranteed to be smaller than the original value in x. Different proportions can be specified for different cells by setting prop to a vector.

If bycol=FALSE, downsampling without replacement is performed on the entire matrix. This yields a new matrix where the total count across all cells is equal to prop times the original total. The new total count for each cell may not be exactly equal to prop times the original value, which may or may not be more appropriate than bycol=TRUE for particular applications.

Technically, downsampling on the reads with [downsampleReads](#) is more appropriate as it recapitulates the effect of differences in sequencing depth per cell. However, in practice, the aim is to obtain cells that have similar total counts across batches, for which downsampling on the UMI counts is a more direct approach.

Note that this function was originally implemented in the **scater** package as `downsampleCounts`.

Value

A numeric matrix of downsampled counts, of the same type as x.

Author(s)

Aaron Lun

See Also

[downsampleReads](#)

Examples

```
example(read10xCounts)
downsampled <- downsampleMatrix(counts(sce10x), prop = 0.5)
```

downsampleReads	<i>Downsample reads in a 10X Genomics dataset</i>
-----------------	---

Description

Generate a UMI count matrix after downsampling reads from the molecule information file produced by CellRanger for 10X Genomics data.

Usage

```
downsampleReads(sample, prop, barcode.length=NULL, bycol=FALSE)
```

Arguments

sample	A string containing the path to the molecule information HDF5 file.
barcode.length	An integer scalar specifying the length of the cell barcode, see read10xMolInfo .
prop	A numeric scalar or, if bycol=TRUE, a vector of length ncol(x). All values should lie in [0, 1] specifying the downsampling proportion for the matrix or for each cell.
bycol	A logical scalar indicating whether downsampling should be performed on a column-by-column basis.

Details

This function downsamples the reads for each molecule by the specified prop, using the information in sample. It then constructs a UMI count matrix based on the molecules with non-zero read counts. The aim is to eliminate differences in technical noise that can drive clustering by batch, as described in [downsampleMatrix](#).

Subsampling the reads with downsampleReads recapitulates the effect of differences in sequencing depth per cell. This provides an alternative to downsampling with the CellRanger aggr function or subsampling with the 10X Genomics R kit. Note that this differs from directly subsampling the UMI count matrix with [downsampleMatrix](#).

If bycol=FALSE, downsampling without replacement is performed on all reads from the entire dataset. The total number of reads for each cell after downsampling may not be exactly equal to prop times the original value. Note that this is the more natural approach and is the default, which differs from the default used in [downsampleMatrix](#).

If bycol=TRUE, sampling without replacement is performed on the reads for each cell. The total number of reads for each cell after downsampling is guaranteed to be prop times the original total (rounded to the nearest integer). Different proportions can be specified for different cells by setting prop to a vector, where each proportion corresponds to a cell/GEM combination in the order returned by [get10xMolInfoStats](#).

Value

A numeric sparse matrix containing the downsampled UMI counts for each gene (row) and barcode (column).

Author(s)

Aaron Lun

See Also

[downsampleMatrix](#), [read10xMolInfo](#)

Examples

```
# Mocking up some 10X HDF5-formatted data.
out <- DropletUtils::sim10xMolInfo(tempfile(), nsamples=1)

# Downsampling by the reads.
downsampleReads(out, barcode.length=4, prop=0.5)
```

emptyDrops	<i>Identify empty droplets</i>
------------	--------------------------------

Description

Distinguish between droplets containing cells and ambient RNA in a droplet-based single-cell RNA sequencing experiment.

Usage

```
testEmptyDrops(
  m,
  lower = 100,
  niters = 10000,
  test.ambient = FALSE,
  ignore = NULL,
  alpha = NULL,
  round = TRUE,
  BPPARAM = SerialParam()
)

emptyDrops(
  m,
  lower = 100,
  retain = NULL,
  barcode.args = list(),
  round = TRUE,
  ...
)
```

Arguments

m	A numeric matrix object - usually a dgTMatrix or dgCMatrix - containing droplet data <i>prior to any filtering or cell calling</i> . Columns represent barcoded droplets, rows represent genes.
lower	A numeric scalar specifying the lower bound on the total UMI count, at or below which all barcodes are assumed to correspond to empty droplets.
niters	An integer scalar specifying the number of iterations to use for the Monte Carlo p-value calculations.

<code>test.ambient</code>	A logical scalar indicating whether results should be returned for barcodes with totals less than or equal to <code>lower</code> .
<code>ignore</code>	A numeric scalar specifying the lower bound on the total UMI count, at or below which barcodes will be ignored (see Details for how this differs from <code>lower</code>).
<code>alpha</code>	A numeric scalar specifying the scaling parameter for the Dirichlet-multinomial sampling scheme.
<code>round</code>	Logical scalar indicating whether to check for non-integer values in <code>m</code> and, if present, round them for ambient profile estimation (see ?estimateAmbience) and the multinomial simulations.
<code>BPPARAM</code>	A <code>BiocParallelParam</code> object indicating whether parallelization should be used to compute p-values.
<code>retain</code>	A numeric scalar specifying the threshold for the total UMI count above which all barcodes are assumed to contain cells.
<code>barcode.args</code>	Further arguments to pass to barcodeRanks .
<code>...</code>	Further arguments to pass to <code>testEmptyDrops</code> .

Value

`testEmptyDrops` will return a `DataFrame` with the following components:

Total: Integer, the total UMI count for each barcode.

LogProb: Numeric, the log-probability of observing the barcode's count vector under the null model.

PValue: Numeric, the Monte Carlo p-value against the null model.

Limited: Logical, indicating whether a lower p-value could be obtained by increasing `niters`.

`emptyDrops` will return a `DataFrame` like `testEmptyDrops`, with an additional `FDR` field.

The metadata of the output `DataFrame` will contain the ambient profile in `ambient`, the estimated/specified value of `alpha`, the specified value of `lower` and the number of iterations in `niters`. For `emptyDrops`, the metadata will also contain the retention threshold in `retain`.

Details about `testEmptyDrops`

The `testEmptyDrops` function first obtains an estimate of the composition of the ambient pool of RNA based on the barcodes with total UMI counts less than or equal to `lower` (see [?estimateAmbience](#) for details). This assumes that a cell-containing droplet would generally have higher total counts than empty droplets containing RNA from the ambient pool. Counts for the low-count barcodes are pooled together, and an estimate of the proportion vector for the ambient pool is calculated using [goodTuringProportions](#). The count vector for each barcode above `lower` is then tested for a significant deviation from these proportions.

Then, `testEmptyDrops` will test each barcode for significant deviations from the ambient profile. The null hypothesis is that transcript molecules are included into droplets by multinomial sampling from the ambient profile. For each barcode, the probability of obtaining its count vector based on the null model is computed. Then, `niters` count vectors are simulated from the null model. The proportion of simulated vectors with probabilities lower than the observed multinomial probability for that barcode is used to calculate the p-value.

We use this Monte Carlo approach as an exact multinomial p-value is difficult to calculate. However, the p-value is lower-bounded by the value of `niters` (Phipson and Smyth, 2010), which can result in loss of power if `niters` is too small. Users can check whether this loss of power has any practical

consequence by checking the `Limited` field in the output. If any barcodes have `Limited=TRUE` but does *not* reject the null hypothesis, it suggests that `niters` should be increased.

The stability of the Monte Carlo p -values depends on `niters`, which is only set to a default of 10000 for speed. Larger values improve stability with the only cost being that of time, so users should set `niters` to the largest value they are willing to wait for.

The `ignore` argument can also be set to ignore barcodes with total counts less than or equal to `ignore`. This differs from the `lower` argument in that the ignored barcodes are not necessarily used to compute the ambient profile. Users can interpret `ignore` as the minimum total count required for a barcode to be considered as a potential cell. In contrast, `lower` is the maximum total count below which all barcodes are assumed to be empty droplets.

Details about `emptyDrops`

The `emptyDrops` function identifies droplets that are likely to contain cells by calling `testEmptyDrops`. The Benjamini-Hochberg correction is applied to the Monte Carlo p -values to correct for multiple testing. Cells can then be defined by taking all barcodes with significantly non-ambient profiles, e.g., at a false discovery rate of 0.1%.

Barcodes that contain more than `retain` total counts are always retained. This ensures that large cells with profiles that are very similar to the ambient pool are not inadvertently discarded. If `retain` is not specified, it is set to the total count at the knee point detected by `barcodeRanks`. Manual specification of `retain` may be useful if the knee point was not correctly identified in complex log-rank curves. Users can also set `retain=Inf` to disable automatic retention of barcodes with large totals.

All barcodes with total counts above `retain` are assigned p -values of zero *during correction*, reflecting our assumption that they are true positives. This ensures that their Monte Carlo p -values do not affect the correction of other genes, and also means that they will have FDR values of zero. However, their original Monte Carlo p -values are still reported in the output, as these may be useful for diagnostic purposes.

In general, users should call `emptyDrops` rather than `testEmptyDrops`. The latter is a “no frills” version that is largely intended for use within other functions.

Handling overdispersion

If `alpha` is set to a positive number, sampling is assumed to follow a Dirichlet-multinomial (DM) distribution. The parameter vector of the DM distribution is defined as the estimated ambient profile scaled by `alpha`. Smaller values of `alpha` model overdispersion in the counts, due to dependencies in sampling between molecules. If `alpha=NULL`, a maximum likelihood estimate is obtained from the count profiles for all barcodes with totals less than or equal to `lower`. If `alpha=Inf`, the sampling of molecules is modelled with a multinomial distribution.

Users can check whether the model is suitable by extracting the p -values for all barcodes with `test.ambient=TRUE`. Under the null hypothesis, the p -values for presumed ambient barcodes (i.e., with total counts below `lower`) should be uniformly distributed. Skews in the p -value distribution are indicative of an inaccuracy in the model and/or its estimates (of `alpha` or the ambient profile).

NA values in the results

We assume that barcodes with total UMI counts below `lower` correspond to empty droplets. These are used to estimate the ambient expression profile against which the remaining barcodes are tested. Under this definition, these low-count barcodes cannot be cell-containing droplets and are excluded from the hypothesis testing.

However, it is still desirable for the number of rows of the output DataFrame to be the same as `ncol(m)`. This allows easy subsetting of `m` based on a logical vector constructed from the output (e.g., to retain all FDR values below a threshold). To satisfy this requirement, the rows for the excluded barcodes are filled in with NA values for all fields in the output. We suggest using `which` to pick barcodes below a FDR threshold, see the Examples.

If `test.ambient=FALSE`, non-NA statistics will be reported for all barcodes. This is occasionally useful for diagnostics to ensure that the p-values are well-calibrated for barcodes below `lower`. Specifically, if the null hypothesis were true, p-values for low-count barcodes should have a uniform distribution. Any strong peaks in the p-values near zero indicate that `emptyDrops` is not controlling the FDR correctly.

Non-empty droplets versus cells

Technically speaking, `emptyDrops` is designed to identify barcodes that correspond to non-empty droplets. This is close to but not quite the same as identifying cells, as droplets containing cell fragments, stripped nuclei and damaged cells will still be significantly non-empty. As such, it may often be necessary to perform additional quality control on the significant barcodes; we suggest doing so using methods from the `scater` package.

On occasion, `emptyDrops` may identify many more non-empty droplets than the expected number of cells. This is probably due to the generation of multiple cell fragments when a single cell is extensively damaged. In such cases, it is informative to construct a MA plot comparing the average expression between retained low-count barcodes and discarded barcodes to see which genes are driving the differences (and thus contributing to the larger number of non-empty calls). Mitochondrial and ribosomal genes are typical offenders; the former can be either up or down in the ambient solution, depending on whether the damage was severe enough to dissociate mitochondria from the cell fragments, while the latter is usually down in low-count barcodes due to loss of cytoplasmic RNA in cell fragments.

To mitigate this effect, we can filter out the problematic genes from the matrix provided to `emptyDrops`. This eliminates their effect on the significance calculations and reduces the number of uninteresting non-empty calls, see <https://github.com/MarioniLab/DropletUtils/issues/36> for an example. Of course, the full set of genes can still be retained for downstream analysis.

Author(s)

Aaron Lun

References

Lun A, Riesenfeld S, Andrews T, Dao TP, Gomes T, participants in the 1st Human Cell Atlas Jamboree, Marioni JC (2019). Distinguishing cells from empty droplets in droplet-based single-cell RNA sequencing data. *Genome Biol.* 20, 63.

Phipson B, Smyth GK (2010). Permutation P-values should never be zero: calculating exact P-values when permutations are randomly drawn. *Stat. Appl. Genet. Mol. Biol.* 9:Article 39.

See Also

[barcodeRanks](#), for choosing the knee point.

[defaultDrops](#), for an implementation of the cell-calling method used by CellRanger version 2.

[estimateAmbience](#), for more details on estimation of the ambient profile.

[maximumAmbience](#), for estimating the maximum possible contribution of the ambient solution to a count profile.

Examples

```
# Mocking up some data:
set.seed(0)
my.counts <- DropletUtils:::simCounts()

# Identify likely cell-containing droplets.
out <- emptyDrops(my.counts)
out

is.cell <- out$FDR <= 0.01
sum(is.cell, na.rm=TRUE)

# Subsetting the matrix to the cell-containing droplets.
# (using 'which()' to handle NAs smoothly).
cell.counts <- my.counts[,which(is.cell),drop=FALSE]
dim(cell.counts)

# Check if p-values are lower-bounded by 'nitters'
# (increase 'nitters' if any Limited==TRUE and Sig==FALSE)
table(Sig=is.cell, Limited=out$Limited)
```

encodeSequences	<i>Encode nucleotide sequences</i>
-----------------	------------------------------------

Description

Encode short nucleotide sequences into integers with a 2-bit encoding.

Usage

```
encodeSequences(sequences)
```

Arguments

sequences A character vector of short nucleotide sequences, e.g., UMIs or cell barcodes.

Details

Each pair of bits encodes a nucleotide - 00 is A, 01 is C, 10 is G and 11 is T. The least significant byte contains the 3'-most nucleotides, and the remaining bits are set to zero. Thus, the sequence "CGGACT" is converted to the binary form:

```
01 10 10 00 01 11
```

... which corresponds to the integer 1671.

A consequence of R's use of 32-bit integers means that no element of sequences can be more than 15 nt long. Otherwise, integer overflow will occur.

Value

An integer vector containing the encoded sequences.

Author(s)

Aaron Lun

References

10X Genomics (2017). Molecule info. https://support.10xgenomics.com/single-cell-gene-expression/software/pipelines/latest/output/molecule_info

Examples

```
encodeSequences("CGGACT")
```

estimateAmbience	<i>Estimate the ambient profile</i>
------------------	-------------------------------------

Description

Estimate the transcript proportions in the ambient solution using the Good-Turing method.

Usage

```
estimateAmbience(m, lower = 100, round = TRUE, good.turing = TRUE)
```

Arguments

<code>m</code>	A numeric matrix object - usually a dgTMatrix or dgCMatrix - containing droplet data <i>prior to any filtering or cell calling</i> . Columns represent barcoded droplets, rows represent genes.
<code>lower</code>	A numeric scalar specifying the lower bound on the total UMI count, at or below which all barcodes are assumed to correspond to empty droplets.
<code>round</code>	Logical scalar indicating whether to check for non-integer values in <code>m</code> and, if present, round them for ambient profile estimation (see ?estimateAmbience) and the multinomial simulations.
<code>good.turing</code>	Logical scalar indicating whether to perform Good-Turing estimation of the proportions.

Details

This function obtains an estimate of the composition of the ambient pool of RNA based on the barcodes with total UMI counts less than or equal to `lower`. For each gene, counts are summed across all low-count barcodes and the resulting count vector is used for Good-Turing estimation of the proportions for each transcript. The aim here is to obtain reasonable proportions for genes with counts of zero in low-count barcodes but non-zero counts for other barcodes (thus avoiding likelihoods of zero when modelling the latter with the proportions).

This function will also attempt to detect whether `m` contains non-integer values by seeing if the column and row sums are discrete. If such values are present, `m` is first [rounded](#) to the nearest integer value before proceeding. This may be relevant when the count matrix is generated from pseudo-alignment methods like Alevin (see the [tximeta](#) package for details). Rounding is performed by default as discrete count values are necessary for the Good-Turing algorithm, but if `m` is known to be discrete, setting `round=FALSE` can provide a small efficiency improvement.

Good-Turing returns zero probabilities for zero counts if none of the summed counts are equal to 1. This is technically correct but not helpful, so we protect against this by adding a single “pseudo-feature” with a count of 1 to the profile. The modified profile is used to calculate a Good-Turing estimate of observing any feature that has zero counts, which is then divided to get the per-feature probability. We scale down all the other probabilities to make space for this new pseudo-probability, which has some properties of unclear utility (see <https://github.com/MarioniLab/DropletUtils/issues/39>).

Note that genes with counts of zero across all barcodes in `m` automatically have proportions of zero. This ensures that the estimation is not affected by the presence/absence of non-expressed genes in different annotations. In any case, such genes are likely to be completely irrelevant to downstream steps and can be safely ignored.

Setting `good.turing=FALSE` may be convenient to obtain raw counts for use in further modelling.

Value

A numeric vector of length equal to `nrow(m)`, containing the estimated proportion of each transcript in the ambient solution.

If `good.turing=FALSE`, the vector instead contains the sum of counts for each gene across all low-count barcodes.

Author(s)

Aaron Lun

See Also

[emptyDrops](#) and [hashedDrops](#), where the ambient profile estimates are used for testing.

Examples

```
# Mocking up some data:
set.seed(0)
my.counts <- DropletUtils::simCounts()

ambience <- estimateAmbience(my.counts)
head(ambience)
```

get10xMolInfoStats *Get 10x cell statistics*

Description

Compute some basic per-cell statistics from the 10x molecule information file.

Usage

```
get10xMolInfoStats(sample, barcode.length=NULL)
```

Arguments

`sample` A string containing the path to the molecule information HDF5 file.
`barcode.length` An integer scalar specifying the length of the cell barcode, see [read10xMolInfo](#).

Value

A DataFrame containing one row per cell library, with the fields:

`cell`: Character, the cell barcode.
`gem_group`: Integer, the GEM group.
`num.umis`: Integer, the number of UMIs assigned to this cell barcode/GEM group combination.
`num.reads`: Integer, the number of reads for this combination.
`num.genes`: Integer, the number of detected genes.

Author(s)

Aaron Lun

See Also

[read10xMolInfo](#)

Examples

```
# Mocking up some 10X HDF5-formatted data.
out <- DropletUtils::sim10xMolInfo(tempfile())

get10xMolInfoStats(out)
```

hashedDrops

Demultiplex cell hashing data

Description

Demultiplex cell barcodes into their samples of origin based on the most significant hash tag oligo (HTO). Also identify potential doublets based on the presence of multiple significant HTOs.

Usage

```
hashedDrops(
  x,
  ambient = NULL,
  min.prop = 0.05,
  pseudo.count = 5,
  doublet.nmads = 3,
  doublet.min = 2,
  doublet.mixture = FALSE,
  confident.nmads = 3,
  confident.min = 2
)
```

Arguments

<code>x</code>	A numeric/integer matrix-like object containing UMI counts. Rows correspond to HTOs and columns correspond to cell barcodes. Each barcode is assumed to correspond to a cell, i.e., cell calling is assumed to have already been performed.
<code>ambient</code>	A numeric vector of length equal to <code>nrow(x)</code> , specifying the relative abundance of each HTO in the ambient solution - see Details.
<code>min.prop</code>	Numeric scalar in (0, 1) specifying the expected minimum proportion of barcodes contributed by each sample. Only used for estimating the ambient profile when <code>ambient=NULL</code> .
<code>pseudo.count</code>	A numeric scalar specifying the minimum pseudo-count when computing log-fold changes.
<code>doublet.nmads</code>	A numeric scalar specifying the number of median absolute deviations (MADs) to use to identify doublets.
<code>doublet.min</code>	A numeric scalar specifying the minimum threshold on the log-fold change to use to identify doublets.
<code>doublet.mixture</code>	Logical scalar indicating whether to use a 2-component mixture model to identify doublets.
<code>confident.nmads</code>	A numeric scalar specifying the number of MADs to use to identify confidently assigned singlets.
<code>confident.min</code>	A numeric scalar specifying the minimum threshold on the log-fold change to use to identify singlets.

Details

Note that this function is still experimental; feedback is welcome.

The idea behind cell hashing is that cells from the same sample are stained with reagent conjugated with a single HTO. Cells are mixed across multiple samples and subjected to droplet-based single-cell sequencing. Cell barcode libraries can then be demultiplexed into individual samples based on whether their unique HTO is detected.

We identify the sample of origin for each cell barcode as that corresponding to the most abundant HTO. (See below for more details on exactly how “most abundant” is defined.) The log-fold change between the largest and second-largest abundances is also reported for each barcode, with large log-fold changes representing confident assignment to a single sample. We also report the log-fold change of the second-most abundant HTO over the estimated level of ambient contamination. Large log-fold changes indicate that the second HTO has greater abundance than expected, consistent with a doublet.

To facilitate quality control, we explicitly identify problematic barcodes as outliers on the relevant metrics.

- By default, we identify putative doublets as those with LogFC2 values that are (i) `doublet.nmads` MADs above the median and (ii) greater than `doublet.min`. The hard threshold is more-or-less arbitrary and aims to avoid overly aggressive detection of large outliers in a naturally right-skewed distribution (given that the log-fold changes are positive by definition, and most of the distribution is located near zero).
- Alternatively, if `doublet.mixture=TRUE`, we fit a two-component mixture model to the LogFC2 distribution. Doublets are identified as all members of the component with the larger mean. This avoids the need for the arbitrary parameters mentioned above but only works when there

are many doublets, otherwise both components will be fitted to the non-doublet values. (Initialization of the model assumes at least 5% doublets.)

Of the non-doublet libraries, we consider them to be confidently assigned to a single sample if their LogFC values are (i) *not* less than `confident.nmads` MADs below the median and (ii) greater than `confident.min`. The hard threshold is again arbitrary, but this time it aims to avoid insufficiently aggressive outlier detection - typically from an inflation of the MAD when the LogFC values are large, positive and highly variable.

Value

A `DataFrame` with one row per column of `x`, containing the following fields:

- `Total`, integer specifying the total count across all HTOs for each barcode.
- `Best`, integer specifying the HTO with the highest abundance for each barcode.
- `Second`, integer specifying the HTO with the second-highest abundance.
- `LogFC`, numeric containing the log-fold change between the abundances of the best and second-best HTO.
- `LogFC2`, numeric containing the log-fold change in the second-best HTO over the ambient contamination.
- `Doublet`, logical specifying whether a barcode is a doublet.
- `Confident`, logical specifying whether a barcode is a confidently assigned singlet.

In addition, the metadata contains `ambient`, a numeric vector containing the (estimate of the) ambient profile; `doublet.threshold`, the threshold applied to `LogFC2` to identify doublets; and `confident.threshold`, the threshold applied to non-doublet `LogFC` values to identify confident singlets.

Adjusting abundances for ambient contamination

HTO abundances require some care to compute due to the presence of ambient contamination in each library. Ideally, the experiment would be performed in such a manner that the concentration of each HTO is the same. However, if one HTO is present at higher concentration in the ambient solution, this might incorrectly cause us to assign all barcodes to the corresponding sample.

To adjust for ambient contamination, we assume that the ambient contamination in each library follows the same profile as `ambient`. We further assume that a minority of HTOs in a library are actually driven by the presence of cell(s), the rest coming from the ambient solution. We estimate the level of ambient contamination in each barcode by scaling `ambient`, using a **DESeq**-like normalization algorithm to compute the scaling factor. (The requisite assumption of a non-DE majority follows from the two assumptions above.) We then subtract the scaled ambient proportions from the HTO count profile to remove the effect of contamination. Abundances that would otherwise be negative are set to zero.

For experiments with 3-4 HTOs, we assume that higher-order multiplets are negligible and define the scaling factor as the third-largest ratio between the HTO counts and `ambient`. For experiments with only 2 HTOs, doublet detection is not possible as the second-most abundant HTO is always used to estimate the ambient contamination.

Getting the ambient proportions

Ideally, `ambient` would be obtained from libraries that do not correspond to cell-containing droplets. For example, we could get this information from the `metadata` of the `emptyDrops` output, had we run `emptyDrops` on the HTO count matrix (see below).

Unfortunately, in some cases (e.g., public data), counts are provided for only the cell-containing barcodes. If `ambient=NULL`, the function will fit a two-component mixture model to each HTO's count distribution. All barcodes assigned to the lower component are considered to have background counts for that HTO, and the mean of those counts is used as an estimate of the ambient contribution.

The initialization of the mixture model is controlled by `min.prop`, which starts the means of the lower and upper components at the `min.prop` and `1-min.prop` quantiles, respectively. This means that each sample is expected to contribute somewhere between `[min.prop, 1-min.prop]` barcodes. Larger values improve convergence but require stronger assumptions about the relative proportions of multiplexed samples.

Computing the log-fold changes

After subtraction of the ambient noise but before calculation of the log-fold changes, we need to add a pseudo-count to ensure that the log-fold changes are well-defined. We set the pseudo-count to the average ambient HTO count (i.e., the average of the scaled ambient), effectively exploiting the ambient contamination as a natural pseudo-count that scales with barcode-specific capture efficiency and sequencing depth. (In libraries with low sequencing depth, we still enforce a minimum pseudo-count of `pseudo.count`.)

This scaling behavior is useful as it ensures that shrinkage of the log-fold changes is not more severe for libraries that have not been sequenced as deeply. We thus avoid excessive variability in the log-fold change distribution that would otherwise reduce the precision of outlier detection. The implicit assumption is that the number of contaminating transcript molecules is roughly the same in each droplet, meaning that any differences in ambient coverage between libraries reflect technical biases that would also affect cell-derived HTO counts.

Another nice aspect of this entire procedure (subtraction and re-addition) is that it collapses to a no-op if the experiment is well-executed with identical concentrations of all HTOs in the ambient solution.

Use only on non-empty droplets

This function assumes that cell calling has already been performed, e.g., with `emptyDrops`. Specifically, `x` should only contain columns corresponding to non-empty droplets. If empty droplets are included, their log-fold changes will simply reflect stochastic sampling in the ambient solution and violate the assumptions involved in outlier detection.

If `x` contains columns for both empty and non-empty droplets, it is straightforward to simply run `emptyDrops` on the HTO count matrix to identify the latter. Note that some fiddling with the `lower=` argument may be required, depending on the sequencing depth of the HTO libraries.

Author(s)

Aaron Lun

References

Stoeckius M, Zheng S, Houck-Loomis B et al. (2018) Cell Hashing with barcoded antibodies enables multiplexing and doublet detection for single cell genomics. *Genome Biol.* 19, 1:224

See Also

`emptyDrops`, to identify which barcodes are likely to contain cells.

Examples

```

# Mocking up an example dataset with 10 HTOs and 10% doublets.
ncells <- 1000
nhto <- 10
y <- matrix(rpois(ncells*nhto, 50), nrow=nhto)
true.sample <- sample(nhto, ncells, replace=TRUE)
y[cbind(true.sample, seq_len(ncells))] <- 1000

ndoub <- ncells/10
next.sample <- (true.sample[1:ndoub] + 1) %% nrow(y)
next.sample[next.sample==0] <- nrow(y)
y[cbind(next.sample, seq_len(ndoub))] <- 500

# Computing hashing statistics.
stats <- hashedDrops(y)

# Doublets show up in the top-left,
# singlets in the bottom right.
plot(stats$LogFC, stats$LogFC2)

# Most cells should be singlets with low NMAD.
hist(stats$LogFC2, breaks=50)

# Identify confident singlets or doublets at the given NMAD threshold.
summary(stats$Confident)
summary(stats$Doublet)

# Chcecking against the known truth, in this case
# 'Best' contains the putative sample of origin.
table(stats$Best, true.sample)

```

makeCountMatrix

Make a count matrix

Description

Construct a count matrix from per-molecule information, typically the cell and gene of origin.

Usage

```
makeCountMatrix(gene, cell, all.genes=NULL, all.cells=NULL, value=NULL)
```

Arguments

gene	An integer or character vector specifying the gene to which each molecule was assigned.
cell	An integer or character vector specifying the cell to which each molecule was assigned.
all.genes	A character vector containing the names of all genes in the dataset.
all.cells	A character vector containing the names of all cells in the dataset.
value	A numeric vector containing values for each molecule.

Details

Each element of the vectors `gene`, `cell` and (if specified) `value` contain information for a single transcript molecule. Each entry of the output matrix corresponds to a single gene and cell combination. If multiple molecules are present with the same combination, their values in `value` are summed together, and the sum is used as the entry of the output matrix.

If `value=NULL`, it will default to a vector of all 1's. Each entry of the output matrix represents the number of molecules with the corresponding combination, i.e., UMI counts. Users can pass other metrics such as the number of reads covering each molecule. This would yield a read count matrix rather than a UMI count matrix.

If `all.genes` is not specified, it is kept as `NULL` for integer `gene`. Otherwise, it is defined as the sorted unique values of character `gene`. The same occurs for `cell` and `all.cells`.

If `gene` is integer, its values should be positive and no greater than `length(all.genes)` if `all.genes!=NULL`. If `gene` is character, its values should be a subset of those in `all.genes`. The same requirements apply to `cell` and `all.cells`.

Value

A sparse matrix where rows are genes, columns are cells and entries are the sum of `value` for each gene/cell combination. Rows and columns are named if the gene or cell are character or if `all.genes` or `all.cells` are specified.

Author(s)

Aaron Lun

See Also

[read10xMolInfo](#)

Examples

```
nmolecules <- 100
gene.id <- sample(LETTERS, nmolecules, replace=TRUE)
cell.id <- sample(20, nmolecules, replace=TRUE)
makeCountMatrix(gene.id, cell.id)
```

maximumAmbience

Maximum ambient contribution

Description

Estimate the maximum contribution of the ambient solution to a particular expression profile.

Usage

```
maximumAmbience(
  y,
  ambient,
  threshold = 0.1,
  dispersion = 0,
  num.points = 100,
```

```

num.iter = 5,
mode = c("scale", "profile", "proportion")
)

```

Arguments

<code>y</code>	A numeric vector of gene-level counts.
<code>ambient</code>	A numeric vector of length equal to <code>y</code> , containing the proportions of transcripts for each gene in the ambient solution.
<code>threshold</code>	Numeric scalar specifying the p-value threshold to use, see Details.
<code>dispersion</code>	Numeric scalar specifying the dispersion to use in the negative binomial model. Defaults to zero, i.e., a Poisson model.
<code>num.points</code>	Integer scalar specifying the number of points to use for the grid search.
<code>num.iter</code>	Integer scalar specifying the number of iterations to use for the grid search.
<code>mode</code>	String indicating the output to return - the scaling factor, the maximum ambient profile or the maximum proportion of each gene's counts in <code>y</code> that is attributable to ambient contamination.

Details

On occasion, it is useful to estimate the maximum possible contribution of the ambient solution to a count profile. This represents the most pessimistic explanation of a particular expression pattern and can be used to identify and discard suspect genes or clusters prior to downstream analyses.

This function implements the following algorithm:

1. It computes the mean ambient contribution for each gene by scaling `ambient` by some factor. `ambient` itself is usually derived by summing counts across barcodes with low total counts, see the output of `emptyDrops` for an example.
2. It computes a p-value for each gene based on the probability of observing a count equal to or below that in `y`, using the lower tail of a negative binomial (or Poisson) distribution with mean set to the ambient contribution.
3. It combines p-values across genes using Simes' method. The joint null hypothesis is that the expectation of `y` is equal to the sum of the scaled ambient proportions and some (non-negative) contribution from actual intra-cellular transcripts.
4. It finds the largest scaling factor that fails to reject this joint null at the specified threshold. If `sum(ambient)` is equal to unity, this scaling factor can be interpreted as the maximum number of transcript molecules contributed to `y` by the ambient solution.

The process of going from a scaling factor to a combined p-value has no clean analytical solution, so we use an iterative grid search to identify the largest possible scaling factor at a decent resolution. `num.points` and `num.iter` control the resolution of the grid search, and generally do not need to be changed.

Value

A numeric scalar quantifying the "contribution" of the ambient solution to `y`. The product of this scalar and `ambient` yields the expected number of ambient transcripts for each gene in `y`.

Caveats

The algorithm implemented in this function is, admittedly, rather *ad hoc* and offers little in the way of theoretical guarantees. The reported scaling often exceeds the actual contribution, especially at low counts where the reduced power fails to penalize overly large scaling factors. The p-value is largely used as a score rather than providing any meaningful error control. Empirically, decreasing threshold will return a higher scaling factor by making the estimation more robust to drop-outs in y , at the cost of increasing the risk of over-estimation of the ambient contribution.

It is also important to note that this function returns the *maximum possible* contribution of the ambient solution to y , not the actual contribution. It is probably unwise to attempt to obtain a “cleaned” expression profile by subtracting the scaled ambient proportions from y . In the most extreme case, if the ambient profile is similar to the expectation of y (e.g., due to sequencing a relatively homogeneous cell population), the maximum possible contribution of the ambient solution would be 100% of y , and subtraction would yield an empty count vector!

Author(s)

Aaron Lun

See Also

[emptyDrops](#), which uses the ambient profile to call cells.

[estimateAmbience](#), to obtain an estimate to use in `ambient`.

Examples

```
# Making up some data.
ambient <- c(runif(900, 0, 0.1), runif(100))
y <- rpois(1000, ambient * 50)
y <- y + rpois(1000, 5) # actual biology.

# Estimating the maximum possible scaling factor:
scaling <- maximumAmbience(y, ambient)
scaling

# Estimating the maximum contribution to 'y' by 'ambient'.
contribution <- maximumAmbience(y, ambient, mode="profile")
DataFrame(ambient=contribution, total=y)
```

read10xCounts

Load data from a 10X Genomics experiment

Description

Creates a [SingleCellExperiment](#) from the CellRanger output directories for 10X Genomics data.

Usage

```
read10xCounts(
  samples,
  sample.names = names(samples),
  col.names = FALSE,
  type = c("auto", "sparse", "HDF5", "prefix"),
  version = c("auto", "2", "3"),
  genome = NULL,
  compressed = NULL
)
```

Arguments

<code>samples</code>	A character vector containing one or more directory names, each corresponding to a 10X sample. Each directory should contain a matrix file, a gene/feature annotation file, and a barcode annotation file. Alternatively, each string may contain a path to a HDF5 file in the sparse matrix format generated by 10X. These can be mixed with directory names when <code>type="auto"</code> . Alternatively, each string may contain a prefix of names for the three-file system described above, where the rest of the name of each file follows the standard 10X output.
<code>sample.names</code>	A character vector of length equal to <code>samples</code> , containing the sample names to store in the column metadata of the output object. If <code>NULL</code> , the file paths in <code>samples</code> are used directly.
<code>col.names</code>	A logical scalar indicating whether the columns of the output object should be named with the cell barcodes.
<code>type</code>	String specifying the type of 10X format to read data from.
<code>version</code>	String specifying the version of the 10X format to read data from.
<code>genome</code>	String specifying the genome if <code>type="HDF5"</code> and <code>version='2'</code> .
<code>compressed</code>	Logical scalar indicating whether the text files are compressed for <code>type="sparse"</code> or <code>"prefix"</code> .

Details

This function has a long and storied past. It was originally developed as the `read10xResults` function in **scater**, inspired by the `Read10X` function from the **Seurat** package. It was then migrated to this package in an effort to consolidate some 10X-related functionality across various packages.

If `type="auto"`, the format of the input file is automatically detected for each `samples` based on whether it ends with `".h5"`. If so, `type` is set to `"HDF5"`; otherwise it is set to `"sparse"`.

- If `type="sparse"`, count data are loaded as a [dgCMatix](#) object. This is a conventional column-sparse compressed matrix format produced by the CellRanger pipeline, consisting of a (possibly Gzipped) MatrixMarket text file (`"matrix.mtx"`) with additional tab-delimited files for barcodes (`"barcodes.tsv"`) and gene annotation (`"features.tsv"` for version 3 or `"genes.tsv"` for version 2).
- If `type="prefix"`, count data are also loaded as a [dgCMatix](#) object. This assumes the same three-file structure for each sample as described for `type="sparse"`, but each sample is defined here by a prefix in the file names rather than by being a separate directory. For example, if the `samples` entry is `"xyz_"`, the files are expected to be `"xyz_matrix.mtx"`, `"xyz_barcodes.tsv"`, etc.

- If type="HDF5", count data are assumed to follow the 10X sparse HDF5 format for large data sets. It is loaded as a [TENxMatrix](#) object, which is a stub object that refers back to the file in samples. Users may need to set genome if it cannot be automatically determined when version="2".

When type="sparse" or "prefix" and compressed=NULL, the function will automatically search for both the unzipped and Gzipped versions of the files. This assumes that the compressed files have an additional ".gz" suffix. We can restrict to only compressed or uncompressed files by setting compressed=TRUE or FALSE, respectively.

Cell Ranger 3.0 introduced a major change in the format of the output files for both types. If version="auto", the version of the format is automatically detected from the supplied paths. For type="sparse", this is based on whether there is a "features.tsv.gz" file in the directory. For type="HDF5", this is based on whether there is a top-level "matrix" group with a "matrix/features" subgroup in the file.

Matrices are combined by column if multiple samples were specified. This will throw an error if the gene information is not consistent across samples.

If col.names=TRUE and length(sample)==1, each column is named by the cell barcode. For multiple samples, the index of each sample in samples is concatenated to the cell barcode to form the column name. This avoids problems with multiple instances of the same cell barcodes in different samples.

Note that user-level manipulation of sparse matrices requires loading of the **Matrix** package. Otherwise, calculation of rowSums, colSums, etc. will result in errors.

Value

A [SingleCellExperiment](#) object containing count data for each gene (row) and cell (column) across all samples.

- Row metadata will contain the fields "ID" and "Symbol". The former is the gene identifier (usually Ensembl), while the latter is the gene name. If version="3", it will also contain the "Type" field specifying the type of feature (e.g., gene or antibody).
- Column metadata will contain the fields "Sample" and "Barcode". The former contains the name of the sample (or if not supplied, the path in samples) from which each column was obtained. The latter contains the cell barcode sequence and GEM group for each cell library.
- Rows are named with the gene identifier. Columns are named with the cell barcode in certain settings, see Details.
- The assays will contain a single "counts" matrix, containing UMI counts for each gene in each cell. Note that the matrix representation will depend on the format of the samples, see Details.
- The metadata contains a "Samples" field, containing the input samples character vector.

Author(s)

Davis McCarthy, with modifications from Aaron Lun

References

Zheng GX, Terry JM, Belgrader P, and others (2017). Massively parallel digital transcriptional profiling of single cells. *Nat Commun* 8:14049.

10X Genomics (2017). Gene-Barcode Matrices. <https://support.10xgenomics.com/single-cell-gene-expression/software/pipelines/2.2/output/matrices>

10X Genomics (2018). Feature-Barcode Matrices. <https://support.10xgenomics.com/single-cell-gene-expression/software/pipelines/latest/output/matrices>

10X Genomics (2018). HDF5 Gene-Barcode Matrix Format. https://support.10xgenomics.com/single-cell-gene-expression/software/pipelines/2.2/advanced/h5_matrices

10X Genomics (2018). HDF5 Feature Barcode Matrix Format. https://support.10xgenomics.com/single-cell-gene-expression/software/pipelines/latest/advanced/h5_matrices

See Also

[splitAltExps](#), to split alternative feature sets (e.g., antibody tags) into their own Experiments.

[write10xCounts](#), to create 10X-formatted file(s) from a count matrix.

Examples

```
# Mocking up some 10X genomics output.
example(write10xCounts)

# Reading it in.
sce10x <- read10xCounts(tmpdir)

# Column names are dropped with multiple 'samples'.
sce10x2 <- read10xCounts(c(tmpdir, tmpdir))
```

read10xMolInfo	<i>Read the 10X molecule information file</i>
----------------	---

Description

Extract relevant fields from the molecule information HDF5 file, produced by CellRanger for 10X Genomics data.

Usage

```
read10xMolInfo(sample, barcode.length=NULL, keep.unmapped=FALSE, get.cell=TRUE,
  get.umi=TRUE, get.gem=TRUE, get.gene=TRUE, get.reads=TRUE,
  version=c("auto", "2", "3"))
```

Arguments

sample	A string containing the path to the molecule information HDF5 file.
barcode.length	An integer scalar specifying the length of the cell barcode. Only relevant when version="2".
keep.unmapped	A logical scalar indicating whether unmapped molecules should be reported.
get.cell, get.umi, get.gem, get.gene, get.reads	Logical scalar indicating whether the corresponding field should be extracted.
version	String specifying the version of the 10X molecule information format to read data from.

Details

Molecules that were not assigned to any gene have gene set to `length(genes)+1`. By default, these are removed when `keep.unmapped=FALSE`.

Cell Ranger 3.0 introduced a major change in the format of the molecule information files. When `version="auto"`, the function will attempt to determine the version format of the file. This can also be user-specified by setting `version` explicitly.

For files produced by version 2.2 of the Cell Ranger software, the length of the cell barcode is not given. Instead, the barcode length is automatically inferred if `barcode.length=NULL` and `version="2"`. Currently, version 1 of the 10X chemistry uses 14 nt barcodes, while version 2 uses 16 nt barcodes.

Setting any of the `get.*` arguments will (generally) avoid extraction of the corresponding field. This can improve efficiency if that field is not necessary for further analysis. Aside from the missing field, the results are guaranteed to be identical, i.e., same order and number of rows. Note that some fields must be loaded to yield similar results, e.g., gene IDs will always be loaded to remove unmapped reads if `keep.unmapped=FALSE`.

Value

A list is returned containing two elements. The first element is named `data` and is a `DataFrame` where each row corresponds to a single transcript molecule. The fields are as follows:

`barcode`: Character, the cell barcode for each molecule.

`umi`: Integer, the processed UMI barcode in 2-bit encoding.

`gem_group`: Integer, the GEM group.

`gene`: Integer, the index of the gene to which the molecule was assigned. This refers to an entry in the `genes` vector, see below.

`reads`: Integer, the number of reads mapped to this molecule.

The field will not be present in the `DataFrame` if the corresponding `get.*` argument is `FALSE`,

The second element of the list is named `genes` and is a character vector containing the names of all genes in the annotation. This contains the names of the various entries of `gene` for the individual molecules.

Author(s)

Aaron Lun, based on code by Jonathan Griffiths

References

Zheng GX, Terry JM, Belgrader P, and others (2017). Massively parallel digital transcriptional profiling of single cells. *Nat Commun* 8:14049.

10X Genomics (2017). Molecule info. https://support.10xgenomics.com/single-cell-gene-expression/software/pipelines/2.2/output/molecule_info

10X Genomics (2018). Molecule info. https://support.10xgenomics.com/single-cell-gene-expression/software/pipelines/latest/output/molecule_info

See Also

[makeCountMatrix](#)

Examples

```
# Mocking up some 10X HDF5-formatted data.
out <- DropletUtils::sim10xMolInfo(tempfile())

# Reading the resulting file.
read10xMolInfo(out)
```

swappedDrops

*Clean barcode-swapped droplet data***Description**

Remove the effects of barcode swapping on droplet-based single-cell RNA-seq data, specifically 10X Genomics datasets.

Usage

```
swappedDrops(samples, barcode.length = NULL, ...)

removeSwappedDrops(
  cells,
  umis,
  genes,
  nreads,
  ref.genes,
  min.frac = 0.8,
  get.swapped = FALSE,
  get.diagnostics = FALSE,
  hdf5.out = TRUE
)
```

Arguments

<code>samples</code>	A character vector containing paths to the molecule information HDF5 files, produced by CellRanger for 10X Genomics data. Each file corresponds to one sample in a multiplexed pool.
<code>barcode.length</code>	An integer scalar specifying the length of the cell barcode, see read10xMolInfo .
<code>...</code>	Further arguments to be passed to <code>removeSwappedDrops</code> .
<code>cells</code>	A list of character vectors containing cell barcodes. Each vector corresponds to one sample in a multiplexed pool, and each entry of the vector corresponds to one molecule.
<code>umis</code>	A list of integer vectors containing encoded UMI sequences, organized as described for <code>cells</code> . See ?encodeSequences to convert sequences to integers.
<code>genes</code>	A list of integer vectors specifying the gene indices, organized as described for <code>cells</code> . Each index should refer to an element of <code>ref.genes</code> .
<code>nreads</code>	A list of integer vectors containing the number of reads per molecule, organized as described for <code>cells</code> .
<code>ref.genes</code>	A character vector containing the names or symbols of all genes.

<code>min.frac</code>	A numeric scalar specifying the minimum fraction of reads required for a swapped molecule to be assigned to a sample.
<code>get.swapped</code>	A logical scalar indicating whether the UMI counts corresponding to swapped molecules should be returned.
<code>get.diagnostics</code>	A logical scalar indicating whether to return the number of reads for each molecule in each sample.
<code>hdf5.out</code>	A logical scalar indicating whether the diagnostic matrix should be returned as a HDF5Matrix .

Details

Barcode swapping on the Illumina sequencer occurs when multiplexed samples undergo PCR re-amplification on the flow cell by excess primer with different barcodes. This results in sequencing of the wrong sample barcode and molecules being assigned to incorrect samples after debarcoding. With droplet data, there is the opportunity to remove such effects based on the combination of gene, UMI and cell barcode for each observed transcript molecule. It is very unlikely that the same combination will arise from different molecules in multiple samples. Thus, observation of the same combination across multiple samples is indicative of barcode swapping.

We can remove swapped molecules based on the number of reads assigned to each gene-UMI-barcode combination. From the total number of reads assigned to that combination, the fraction of reads in each sample is calculated. The sample with the largest fraction that is greater than `min.frac` is defined as the putative sample of origin to which the molecule is assigned. This assumes that the swapping rate is low, so the sample of origin for a molecule should contain the majority of the reads. In other all samples, reads for the combination are assumed to derive from swapping and do not contribute to the count matrix. Setting `min.frac=1` will effectively remove all molecules that appear in multiple samples. We do not recommend setting `min.frac` lower than 0.5.

If `diagnostics=TRUE`, a diagnostics matrix is returned containing the number of reads per gene-UMI-barcode combination in each sample. Each row corresponds to a combination and each column corresponds to a sample. This can be useful for examining the level of swapping across samples on a molecule-by-molecule basis, though for the sake of memory, the actual identity of the molecules is not returned. By default, the matrix is returned as a [HDF5Matrix](#), which reduces memory usage and avoids potential issues with integer overflow. If `hdf5.out=FALSE`, a sparse matrix is returned instead, which is faster but uses more memory.

`swappedDrops` is a wrapper around `removeSwappedDrops` that extracts the relevant data from the 10X Genomics molecule information file. For other types of droplet-based data, it may be more convenient to call `removeSwappedDrops` directly.

Value

A list is returned with the cleaned entry, itself a list of sparse matrices. Each matrix corresponds to a sample and contains the UMI count for each gene (row) and cell barcode (column) after removing swapped molecules. All cell barcodes that were originally observed are reported as columns, though note that it is possible for some barcodes to contain no counts.

If `get.swapped=TRUE`, a swapped entry is returned in the top-level list. This is a list containing sample-specific sparse matrices of UMI counts corresponding to the swapped molecules. Adding the cleaned and swapped matrices for each sample should yield the total UMI count prior to removal of swapped molecules.

If `get.diagnostics=TRUE`, the top-level list will also contain an additional `diagnostics` matrix.

Format of the molecule information file

swappedDrops makes a few assumptions about the nature of the data in each molecule information file. These are necessary to simplify downstream processing and are generally acceptable in most cases.

Each molecule information file should contain data from only a single 10X run. Users should *not* combine multiple samples into a single molecule information file. The function will emit a warning upon detecting multiple GEM groups from any molecule information file. Molecules with different GEMs will not be recognised as coming from a different sample, though they will be recognised as being derived from different cell-level libraries.

In files produced by CellRanger version 3.0, an additional per-molecule field is present indicating the (c)DNA library from which the molecule was derived. Library preparation can be performed separately for different features (e.g., antibodies, CRISPR tags) such that one 10X run can contain data from multiple libraries. This allows for arbitrarily complicated multiplexing schemes - for example, gene expression libraries might be multiplexed together across one set of samples, while the antibody-derived libraries might be multiplexed across another *different* set of samples. For simplicity, we assume that multiplexing was performed across the same set of samples for all libraries therein.

Author(s)

Jonathan Griffiths, with modifications by Aaron Lun

References

Griffiths JA, Lun ATL, Richard AC, Bach K, Marioni JC (2018). Detection and removal of barcode swapping in single-cell RNA-seq data. *Nat. Commun.* 9, 1:2667.

See Also

[read10xMolInfo](#), [encodeSequences](#)

Examples

```
# Mocking up some 10x HDF5-formatted data, with swapping.
curfiles <- DropletUtils::sim10xMolInfo(tempfile(), nsamples=3)

# Obtaining count matrices with swapping removed.
out <- swappedDrops(curfiles)
lapply(out$cleaned, dim)

out <- swappedDrops(curfiles, get.swapped=TRUE, get.diagnostics=TRUE)
names(out)
```

write10xCounts

Write count data in the 10x format

Description

Create a directory containing the count matrix and cell/gene annotation from a sparse matrix of UMI counts, in the format produced by the CellRanger software suite.

Usage

```
write10xCounts(
  path,
  x,
  barcodes = colnames(x),
  gene.id = rownames(x),
  gene.symbol = gene.id,
  gene.type = "Gene Expression",
  overwrite = FALSE,
  type = c("auto", "sparse", "HDF5"),
  genome = "unknown",
  version = c("2", "3"),
  chemistry = "Single Cell 3' v3",
  original.gem.groups = 1L,
  library.ids = "custom"
)
```

Arguments

path	A string containing the path to the output directory (for type="sparse") or file (for type="HDF5").
x	A sparse numeric matrix of UMI counts.
barcodes	A character vector of cell barcodes, one per column of x.
gene.id	A character vector of gene identifiers, one per row of x.
gene.symbol	A character vector of gene symbols, one per row of x.
gene.type	A character vector of gene types, expanded to one per row of x. Only used when version="3".
overwrite	A logical scalar specifying whether path should be overwritten if it already exists.
type	String specifying the type of 10X format to save x to. This is either a directory containing a sparse matrix with row/column annotation ("sparse") or a HDF5 file containing the same information ("HDF5").
genome	String specifying the genome for storage when type="HDF5". This can be a character vector with one genome per feature if version="3".
version	String specifying the version of the CellRanger format to produce.
chemistry, original.gem.groups, library.ids	Strings containing metadata attributes to be added to the HDF5 file for type="HDF5". Their interpretation is not formally documented and is left to the user's imagination.

Details

This function will try to automatically detect the desired format based on whether path ends with ".h5". If so, it assumes that path specifies a HDF5 file path and sets type="HDF5". Otherwise it will set type="sparse" under the assumption that path specifies a path to a directory.

Note that there were major changes in the output format for CellRanger version 3.0 to account for non-gene features such as antibody or CRISPR tags. Users can switch to this new format using version="3". See the documentation for "latest" for this new format, otherwise see "2.2" or earlier.

The primary purpose of this function is to create files to use for testing [read10xCounts](#). In principle, it is possible to re-use the HDF5 matrices in `cellranger` reanalyze. We recommend against doing so routinely due to CellRanger's dependence on undocumented metadata attributes that may change without notice.

Value

For `type="sparse"`, a directory is produced at `path`. If `version="2"`, this will contain the files `"matrix.mtx"`, `"barcodes.tsv"` and `"genes.tsv"`. If `version="3"`, it will instead contain `"matrix.mtx.gz"`, `"barcodes.tsv.gz"` and `"features.tsv.gz"`.

For `type="HDF5"`, a HDF5 file is produced at `path` containing data in column-sparse format. If `version="2"`, data are stored in the HDF5 group named `genome`. If `version="3"`, data are stored in the group `"matrix"`.

A TRUE value is invisibly returned.

Author(s)

Aaron Lun

References

10X Genomics (2017). Gene-Barcode Matrices. <https://support.10xgenomics.com/single-cell-gene-expression/software/pipelines/2.2/output/matrices>

10X Genomics (2018). Feature-Barcode Matrices. <https://support.10xgenomics.com/single-cell-gene-expression/software/pipelines/latest/output/matrices>

10X Genomics (2018). HDF5 Gene-Barcode Matrix Format. https://support.10xgenomics.com/single-cell-gene-expression/software/pipelines/2.2/advanced/h5_matrices

10X Genomics (2018). HDF5 Feature Barcode Matrix Format. https://support.10xgenomics.com/single-cell-gene-expression/software/pipelines/latest/advanced/h5_matrices

See Also

[read10xCounts](#), to read CellRanger matrices into R.

Examples

```
# Mocking up some count data.
library(Matrix)
my.counts <- matrix(rpois(1000, lambda=5), ncol=10, nrow=100)
my.counts <- as(my.counts, "dgCMatrix")
cell.ids <- paste0("BARCODE-", seq_len(ncol(my.counts)))

ngenes <- nrow(my.counts)
gene.ids <- paste0("ENSG00000", seq_len(ngenes))
gene.symb <- paste0("GENE", seq_len(ngenes))

# Writing this to file:
tmpdir <- tempfile()
write10xCounts(tmpdir, my.counts, gene.id=gene.ids,
  gene.symbol=gene.symb, barcodes=cell.ids)
list.files(tmpdir)

# Creating a version 3 HDF5 file:
```

```
tmp5 <- tempfile(fileext=".h5")  
write10xCounts(tmp5, my.counts, gene.id=gene.ids,  
               gene.symbol=gene.symb, barcodes=cell.ids, version='3')
```

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